

# A unified model for age–velocity dispersion relations in Local Group galaxies: disentangling ISM turbulence and latent dynamical heating

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## ABSTRACT

We analyse age–velocity dispersion relations (AVRs) from kinematics of individual stars in eight Local Group galaxies ranging in mass from Carina ( $M_* \sim 10^6 M_\odot$ ) to M31 ( $M_* \sim 10^{11} M_\odot$ ). Observationally the  $\sigma$  versus stellar age trends can be interpreted as dynamical heating of the stars by giant molecular clouds, bars/spiral arms or merging subhaloes; alternatively the stars could have simply been born out of a more turbulent interstellar medium (ISM) at high redshift and retain that larger velocity dispersion till present day – consistent with recent integral field unit kinematic studies. To ascertain the dominant mechanism and better understand the impact of instabilities and feedback, we develop models based on observed star formation histories (SFHs) of these Local Group galaxies in order to create an evolutionary formalism that describes the ISM velocity dispersion due to a galaxy’s evolving gas fraction. These empirical models relax the common assumption that the stars are born from gas that has constant velocity dispersion at all redshifts. Using only the observed SFHs as input, the ISM velocity dispersion and a mid-plane scattering model fits the observed AVRs of low-mass galaxies without fine tuning. Higher mass galaxies above  $M_{\text{vir}} \gtrsim 10^{11} M_\odot$  need a larger contribution from latent dynamical heating processes (for example minor mergers), in excess of the ISM model. Using the SFHs, we also find that supernovae feedback does not appear to be a dominant driver of the gas velocity dispersion compared to gravitational instabilities – at least for dispersions  $\sigma \gtrsim 25 \text{ km s}^{-1}$ . Together our results point to stars being born with a velocity dispersion close to that of the gas at the time of their formation, with latent dynamical heating operating with a galaxy mass-dependent efficiency. These semi-empirical relations may help constrain the efficiency of feedback and its impact on the physics of disc settling in galaxy formation simulations.

**Key words:** galaxies: dwarf – galaxies: kinematics and dynamics – Local Group – galaxies: spiral – galaxies: star formation.

## 1 INTRODUCTION

The dynamical evolution of galactic stellar discs is encoded in present-day observations of the kinematics of long-lived stars. In

these systems, the rotation velocity and velocity dispersion ( $\sigma$ ) of the stars provide a means to infer the mass distribution of the galaxy (Cappellari et al. 2009), and quantify the angular momentum and orbital distribution of the stellar component (van den Bosch et al. 2008). Understanding how the angular momentum of the stellar disc evolves over time offers a window to look at processes that may be responsible for redistributing stars within the galaxy, shaping

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its present-day dynamical structure. Such dynamical diagnostics offer complementary insight to structural studies, for example the correlations between disc thickness and galaxy mass seen in studies of edge-on galaxies (Kregel, van der Kruit & de Grijs 2002), or locally the anticorrelation between scaleheight and scalelength seen amongst the (thick) disc(s) of different ages in the Milky Way (MW) (Bovy et al. 2012; Minchev et al. 2015).

The primary observational metric for studying the dynamical evolution of resolved systems is to compare the velocity dispersion as function of stellar age – the age–velocity dispersion relation (AVR). Measurements of the AVR from local solar neighbourhood stars in the MW have long provided a detailed chance to study local dynamical heating processes (Spitzer & Schwarzschild 1951, 1953; Wielen 1977), the effect of mergers on the disc structure (Toth & Ostriker 1992; Hopkins et al. 2008; Helmi et al. 2012) and radial migration (e.g. Sellwood & Binney 2002a; Roškar et al. 2008; Loebman et al. 2011; Grand, Kawata & Cropper 2015). The increase in  $\sigma$  for the oldest stars in the solar neighbourhood AVR (Holmberg, Nordström & Andersen 2009; Casagrande et al. 2011) suggests that over time a scattering mechanism has dynamically heated the stellar populations – with the oldest stars showing higher velocity dispersion due to their longer exposure to this scattering from giant molecular clouds (GMCs) or spiral arms.

These processes may work proportionally more in the vertical or in-plane directions, which for the Solar neighbourhood where the velocity dispersion in three components ( $\sigma_z$ ,  $\sigma_\phi$ ,  $\sigma_R$ ) is measurable, provides an exquisite opportunity to understand the efficiency of these mechanisms through simulations (e.g. House et al. 2011; Grand et al. 2016; Gustafsson et al. 2016; though this can be complicated due to volume selection effects, Aumer, Binney & Schönrich 2016) and their dependencies with other galactic properties such as the shape of the rotation curve (e.g. Ida, Kokubo & Makino 1993; Shiidsuka & Ida 1999).

With the advent of high throughput near-infrared integral field units (IFUs) on 8m class telescopes, complementary observations of the ionized (and molecular) gas velocity dispersion in high-redshift galaxies have become possible. A high fraction of these galaxies has been shown to be rotation-dominated disc galaxies in their kinematics and from deep NIR imaging. Recent studies have found that galactic discs exhibit much more turbulent interstellar mediums (ISMs) at higher redshifts (Förster Schreiber et al. 2009; Genzel et al. 2011; Saintonge et al. 2011; Kassin et al. 2012; Stott et al. 2016). If the stars were initially born with the kinematic signatures ( $\sigma_{\text{ISM}}$ ) of their gaseous birthplaces, we would then also expect that the oldest surviving stars in a galaxy have higher velocity dispersions than the younger stars.

The exact nature of the mechanism(s) that power the large gas velocity dispersions in high-redshift galaxies is still actively debated. Momentum injection to the ISM from SNe explosions is theoretically able to provide turbulent support to the ISM, which in turn regulates the star formation rate within gaseous discs (cf. Ostriker & Shetty 2011). Observationally, Lehnert et al. (2013) suggested that the dispersion fields in high-redshift galaxies were consistent with being generated by this SNe-driven turbulence – although with an SNe energy injection efficiency that is much lower than what is commonly needed in simulations to reproduce dynamical, chemical and structural scaling relations (Agertz et al. 2013; Di Cintio et al. 2014; Shen et al. 2014). An alternative driver of velocity dispersion in the ISM is simply that large gas fractions in high-redshift gas discs (Daddi et al. 2010) lead to instabilities that subsequently fragment the disc into massive clumps and gravitationally provide a source of random motion in the ISM (Bournaud et al. 2010).

Understanding the relative contribution of these two internal processes is crucial given that high SNe feedback efficiencies appear necessary to resolve many issues in galaxy formation: from the apparent deviations from Navarro-Frenk-White (NFW) halo profiles in low-mass galaxies (Governato et al. 2010; Brooks & Zolotov 2014), to the galactic mass–metallicity relation (Davé, Finlator & Oppenheimer 2012), and abundances of gas in the intergalactic medium (IGM) and circumgalactic medium (CGM) (e.g. Shen et al. 2013). Disc instabilities are equally important as an evolutionary mechanism, as the high-mass gas clumps can migrate via dynamical friction to the centre of the galaxy and fuel rapid bulge formation (Bournaud, Elmegreen & Elmegreen 2007; Krumholz & Dekel 2010), while any mergers in the early Universe can also stir the gaseous disc. Given the importance of both processes in the life of disc galaxies, any indirect effort to constrain the maximum  $\sigma_{\text{ISM}}$  at a given epoch is of vital interest.

A useful avenue in understanding feedback in the gas disc, and the influence of latent dynamical heating in the stellar populations, is to study the AVR in galaxies of a variety of masses. Low-mass dwarf galaxies are more susceptible to SNe feedback due to their lower gas densities and shallower potential wells, with some studies showing that even isolated dwarf galaxies may form their stars out of dynamically hot gaseous configurations at all times (Kaufmann et al. 2007; El-Badry et al. 2017; Wheeler et al. 2017). Unlike higher mass rotationally supported disc galaxies where velocity dispersion is minor contributor to the orbital energy budget, any AVRs for the low-mass dwarf spheroidals (dSphs; which can be dispersion supported), may indicate changes to the global gravitational potential, rather than a reflect the birth dynamics or impact of localized secular scattering process on the stars. While interpretation of dSph AVRs will be more complex due to their environment near the MW, the dSphs offer a large lever arm to study mass-dependent processes, and their vulnerability to feedback and tidally driven gravitational potential alterations makes study of their AVRs also a unique tool to understand their formation.

Conversely higher mass gas discs will be thinner and more likely affected by disc instabilities, or turbulence from external gas accretion (Dekel, Sari & Ceverino 2009). Dynamical heating of the stellar populations from spiral arms or GMCs should also be more prevalent in massive disc galaxies – both due to a mass-dependent maximum GMC mass (cf. Kruijssen 2015) and inability of spiral arms to form in thicker low-density dwarf galaxy discs (Streich et al. 2016). For merging satellite galaxies, the mass spectrum of subhaloes in  $\Lambda$ CDM is scale invariant; however, the disc response of the host depends on the current disc structure and gas fraction (Helmi et al. 2012) – both of which may also lead to a mass dependence in this scattering process as well.

A natural next step is to exploit these mass scalings and extend the AVR analysis done in large spiral galaxies, to a sample of galaxies covering a range of masses. Motivated by the well-developed theoretical pictures for these processes, and the dual interpretation for the AVR shape (intrinsic from birth due to high  $\sigma_{\text{ISM}}$  that stars are born out of, or generated over time by dynamical heating processes), in this work we analyse the AVR of eight Local Group galaxies.

Crucially, we seek to relax the canonical assumption in many AVR and disc heating studies that stars are born from a cold disc with low-velocity dispersion at all epochs. The galaxies we consider span  $\sim 5$  orders of magnitude in stellar mass, have AVRs based on high quality individual spectroscopic observations – and most importantly, all have complete star formation histories (SFHs) from colour magnitude diagram (CMD) analysis. As we shall see this

**Table 1.** Galaxy velocity data.

Galaxy	$N_{\text{stars}}$	$\langle\delta v\rangle$	Reference
Carina	305	$2 \text{ km s}^{-1}$	Lemasle et al. (2012)
Sculptor	579	$2 \text{ km s}^{-1}$	Battaglia et al. (2008)
Fornax	686	$2 \text{ km s}^{-1}$	Battaglia et al. (2006)
WLM	126	$7 \text{ km s}^{-1}$	Leaman et al. (2012)
	19	$10 \text{ km s}^{-1}$	Bresolin et al. (2006)
LMC	373	$7 \text{ km s}^{-1}$	Cole et al. (2005)
	167	$1 \text{ km s}^{-1}$	Olsen & Massey (2007)
M33	48	$8 \text{ km s}^{-1}$	Beasley et al. (in preparation)
	31	$12 \text{ km s}^{-1}$	Beasley et al. (2015)
MW	14139	$1 \text{ km s}^{-1}$	Casagrande et al. (2011)
	579	$2 \text{ km s}^{-1}$	Hayes & Friel (2014)
M31	5800	$10 \text{ km s}^{-1}$	Dorman et al. (2015)

last criterion gives us an empirical backbone in order to construct physically motivated models to interpret the AVR, and in turn understand the dynamical evolution of low and high mass star-forming galaxies.

## 2 DATA

To study the velocity dispersion evolution in Local Group galaxies, we have compiled spectroscopic radial velocity data of individual stars from the literature, or in the case of M33 and the MW, stars and star clusters. The spectroscopic observations are typically of evolved giant branch stars, which span ages of  $2 \text{ Gyr} \lesssim t \lesssim 13.0 \text{ Gyr}$ . In some cases (MW, M31, LMC, WLM) younger ( $\leq 0.3 \text{ Gyr}$ ) supergiant or main-sequence turn-off stars are also incorporated in order to probe the recent dynamical evolution.

Table 1 shows the data sources for the dynamical analyses used in this paper. We list the total number of stars with spectroscopic measurements, along with the approximate velocity uncertainty of the individual stellar spectra. The spatial footprint of the spectroscopic samples typically is concentrated around  $0.5\text{--}2R_e$ , or in the Solar neighbourhood for the MW sample. For the MW we follow Martig, Minchev & Flynn (2014) and append to the Casagrande et al. (2011)  $\sigma$  values, the velocity dispersion of the bluest/youngest stars ( $[B - V] \sim 0.0$ ) in Aumer & Binney (2009), in order to allow for a more consistent differential comparison with the other galaxies where supergiant stars of comparable likely age are used.

Analysing the time evolution of the stellar velocity dispersion also requires the stellar spectra to have sufficiently high S/N for metallicities ( $[\text{Fe}/\text{H}]$ ) to be derived. This allows us to break the age-metallicity degeneracy on the CMD and compute relative ages for the stars – either via isochrone fitting (e.g. Cole et al. 2005) or statistically via CMD analyses (e.g. de Boer et al. 2012b). This procedure involves using a grid of stellar evolution models at fixed  $[\text{Fe}/\text{H}]$  and  $[\alpha/\text{Fe}]$  (in the case of the dSphs also constrained by independent spectroscopic measurements) but varying age, and interpolating the position of a star in the CMD to the closest isochrone. The ages of the tracer populations are taken from the same literature sources as the kinematic data in Table 1.

Finally, we utilize observed SFHs from analyses of CMDs (e.g. Dolphin 2000) to track how a galaxy’s stellar mass grew over its lifetime. An assumption in this is a choice of the IMF – the de Boer et al. sample here adopts a Kroupa IMF, others a Salpeter – however, the choice is a smaller uncertainty than the error in the SFH model in most cases. While a large number of SFHs exist for

**Table 2.** Galaxy SFH data.

Galaxy	$m_v$ 50 per cent	$A_{\text{obs}}/A_{\text{gal}}$	Reference
Carina	23.5	1.0	de Boer et al. (2014)
Sculptor	23.8	1.0	de Boer et al. (2012a)
Fornax	23.9	0.46	de Boer et al. (2012b)
WLM	27.0	0.11	Dolphin (2000)
LMC	20.5	1.0	Harris & Zaritsky (2009)
M33	27.2	0.033	Barker et al. (2007a)
	27.2	0.033	Barker et al. (2007b)
	$\sim 27$	0.033	Williams et al. (2009)
MW	–	1.0	Snaith et al. (2015)
M31	27.4	0.5	Lewis et al. (2015)
	$\sim 25$	0.003	Williams et al. (2003)

many galaxies in our sample, we tried to choose where possible, SFHs from a single study that covered a large field of view, and resolved the old main-sequence turn-off (cf. Gallart, Zoccali & Aparicio 2005). As we wish to consider the evolution of global quantities such as  $M_*$  and  $M_{\text{gas}}$ , it is necessary to homogenize the SFRs derived from CMD analyses of differing spatial footprints. Therefore, we must extrapolate the SFRs in the observed fields to that of the entire galaxy weighting by an exponentially declining radial SF density (using the gas disc scalelength) in the case of M33 and M31. The adopted areal correction factors are listed in Table 2. As the increase in area in the outer regions will not change the total SFR significantly due to the radially concentrated SF disc, we could have also normalized the SFR of the observed fields to the stellar mass of the galaxy at present day from integrated photometry. While our chosen method is an inherently uncertain estimate of the global SFR in cases where the field of view is small or where the disc growth history deviates strongly from inside-out, we stress that the final stellar mass growth histories using the adopted SFRs are consistent within a factor of a few with the estimated stellar masses from integrated photometry or spectral energy distribution fitting, giving confidence to our estimates of the total SFR for any given galaxy.

We note that this scaling by the present-day area assumes minimal size growth for the galaxy at high redshift. The effect of this assumption on the final gas masses is to underestimate the gas fraction by a factor of  $\text{Area}(z)/\text{Area}(z=0)$ , which would be most important during a regime of rapid growth (e.g.  $z > 3$ ). However given the uncertainty in early size evolution for galaxies and the possibly different physical conditions of the ISM (depletion time, stability criteria) which may counterbalance this effect, we simply urge caution in extending any models past a reasonable data calibrated regime (e.g.  $t \gtrsim 12 \text{ Gyr}$ ). In addition, this effect would only be significant if the galaxy had significant radial rearrangement (not mixing) of its stars over the course of a Hubble time.

For our adopted age bins and sample sizes, the random uncertainties on each velocity dispersion measurement will be minimal ( $\leq 2 \text{ km s}^{-1}$ ) for most of the galaxies. Similarly, random uncertainties on the stellar ages computed via isochrone fitting are  $\sim 50$  per cent, a conservative estimate typical of analyses in distant systems (e.g. Leaman et al. 2009; Hendricks et al. 2014). However as with the velocities, systematic errors in the age dating of RGB stars dominate and can reach 1–3 Gyr due to uncertainties in  $[\alpha/\text{Fe}]$ , differential reddening and AGB star contamination (cf. Cole et al. 2004, 2005; Leaman et al. 2013 for a detailed description of these effects).



Table 2 shows the sources for the SFHs, along with the areal factors used to extrapolate the observed SFRs to a global SFR for the galaxies.

### 3 ANALYSIS OF THE STELLAR KINEMATICS AND AGES

For a given galaxy, the sample of spectroscopic stars is first divided into age bins. Given the large systematic errors on both velocity dispersion and age (see Section 2), we have checked that our results are insensitive to the choice of binning. In the star-forming galaxies with significant rotation, the bulk velocity field is removed so that the stars are in a rest frame with respect to the galaxy’s systemic velocity, and spurious enhancements in dispersion are not introduced from considering stars on approaching and receding sides of the velocity field. Within each age bin, the stellar velocity dispersion and its confidence interval are calculated in a maximum likelihood fashion following the methodology in Walker et al. (2006).

As the LOS velocities in each sample differ in their projection, we must produce a homogeneous velocity dispersion measure in order to compare between galaxies at different inclinations. If the velocity ellipsoid of the galaxy is anisotropic, the projected dispersion has a dependence on the amount of velocity anisotropy and viewing angle.

We compute the total velocity dispersion

$$\left(\sigma = \sqrt{\sigma_\phi^2 + \sigma_R^2 + \sigma_z^2}\right) \text{ as}$$

$$\sigma = \left(\frac{\sigma_{\text{LOS}}^2}{1 - \delta(\cos(i)^2)}\right)^{1/2}, \quad (1)$$

where  $\delta$  is a joint anisotropy parameter describing the shape of the velocity ellipsoid and is 0 for an isotropic system.

$$\delta = \frac{2\gamma - \beta}{2 - \gamma} \quad (2)$$

With  $\beta = 1 - (\sigma_\phi/\sigma_R)^2$  and  $\gamma = 1 - (\sigma_z/\sigma_R)^2$ .

The bulk of the error budget for the  $\sigma$  values comes from the *systematic* uncertainty due to the poorly constrained inclination and velocity anisotropy in the systems we consider. In some galaxies there exist independent estimates of the velocity tensor from proper motion (the MW Jenkins & Binney 1990; Hattori & Yoshii 2011), or coupled gas/stellar kinematic analysis (WLM; Leaman et al. 2012, cf. Sil’chenko et al. 2011). In other cases such as the dSphs or LMC, the inclination or anisotropy are difficult to constrain.

For M31 and M33, we could assume that the better known anisotropy parameters of the MW disc would also apply to these spiral disc galaxies, given that studies of external galaxies have found similar values (e.g. Shapiro, Gerssen & van der Marel 2003). However we also chose to be agnostic with respect to these galaxies, when direct measurements were not available, and we adopt a range that roughly spans the limit seen in measurements of the stellar velocity ellipsoids in a recent literature compilation (Pinna et al. (submitted)). While more stringent constraints will continue to become available in the future, reduction of the anisotropy limits to for example  $-0.3 \leq \beta \leq 0.5$  only changes the uncertainties on the marginalized velocity dispersion by  $\sim 5\text{--}15$  per cent depending on the inclination and value of  $\delta$ . Table 4 shows the adopted ranges of inclination and anisotropy parameters  $\beta$ ,  $\gamma$  used in computing the homogenized total dispersion  $\sigma$  using equations (1) and (2).

The final error bars on the dispersion reflect the maximum and minimum  $\sigma$  value given the range of anisotropy and inclination parameters used. This is added in quadrature to the statistical random

uncertainty. The average of the minimum and maximum is taken as the final estimate of the deprojected total velocity dispersion value.

### 4 OBSERVATIONAL EVIDENCE FOR VELOCITY DISPERSION EVOLUTION

In Fig. 1 we show the AVRs [ $\sigma(t)$ ] of all the galaxies normalized to their velocity dispersion of the youngest stars. This figure gives a visual impression of the general self-similar shape for the  $\sigma(t)$  curves among the gas rich star-forming galaxies. These galaxies tend to consistently show strong  $\sigma_v$  evolution in the first few Gyrs, eventually all arriving at a value of  $\sigma/\sigma_0 \sim 3$  for the oldest stars. Notably the dSphs show approximately flat dispersion profiles at all ages, which will be discussed in Section 7.5.

The velocity dispersion evolution implied by the  $\sigma(t)$  curves in Fig. 1 may be interpreted as due to a latent dynamical heating of stars that were born out of an ISM that was cold at all times [e.g.  $\sigma_{\text{ISM}}(t) \leq 10 \text{ km s}^{-1}$ ]. Alternatively the stars that formed at high redshift may have been born in much more turbulent gas than is observed in galaxies at present day, and therefore the oldest stars would exhibit higher velocity dispersions than the youngest stars. In this interpretation some process such as SNe feedback, radiation pressure, dynamical friction driven gas flows or magnetic or gravitational instabilities in the gas disc may generate the higher turbulent velocity dispersions at early times (cf. Bournaud et al. 2015). In the following sections we explore a simple, observationally motivated model for the evolution of the gas velocity dispersion with redshift, and discuss how and when the stars decouple from this velocity field after their birth.

### 5 MODEL DESCRIPTION

There is statistically significant evidence (e.g. Wisnioski et al. 2015, hereafter W15) that galaxies have larger gas velocity dispersions at high redshift – with average values of ionized gas dispersions dropping by a factor of  $\sim 2$  from  $\sigma_{\text{H}\alpha} \sim 50$  at  $z \sim 2$  to present.<sup>1</sup> Older stars may therefore be born with intrinsically higher velocity dispersions if the large-scale gas kinematics out of which they formed, were more disordered in the early Universe.

Simultaneously, simulations (e.g. Aumer et al. 2016; Grand et al. 2016) have shown, that time-dependent scattering mechanisms can shape the AVRs of stars after they are born (‘heating histories’), and these scattering processes themselves likely vary in efficiency over time.

Therefore in order to understand the physical mechanism(s) producing the  $\sigma(t)$  curves in the galaxies, we attempt to model the intrinsic dynamical *cooling* in the velocity dispersion of the ISM with time as well as scattering effects that should alter the orbits of the coldest population of stars. This composite model will provide insight into velocity dispersion of stars at birth and subsequent dynamical heating in galaxies in a range of masses.

#### 5.1 $\sigma_{\text{ISM}}$ evolution

We draw upon the recent analysis from the KMOS<sup>3D</sup> team, who have produced empirical relations of the ISM velocity dispersion versus lookback time consistent with galaxies in several spectroscopic surveys (W15). The galaxies observed extend out to  $z \sim 4$

<sup>1</sup> The typical random uncertainties on velocity dispersion for local  $z = 0$  galaxies in these studies are  $\delta_\sigma \pm 2 \text{ km s}^{-1}$  and  $\delta_\sigma/\sigma \sim 25$  per cent for the high-redshift galaxies.

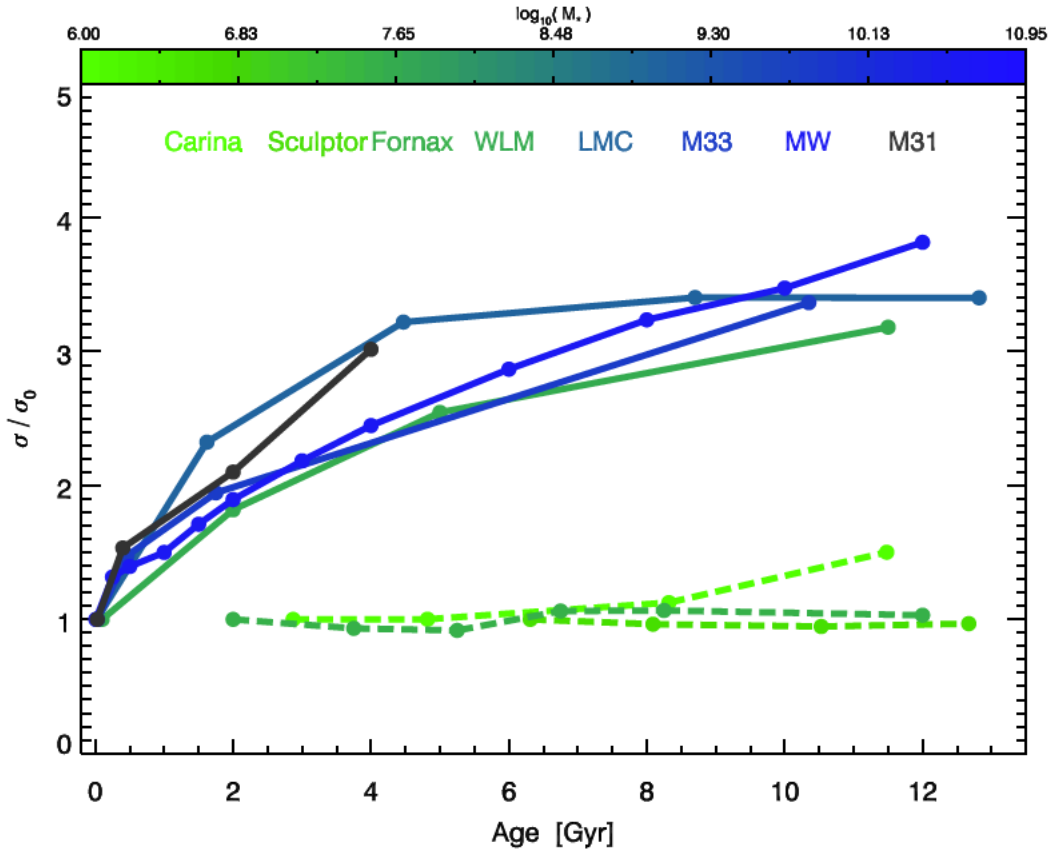


Figure 1. Normalized  $\sigma(t)$  curves for the galaxies considered in this work. The age–velocity curves have been normalized to the stellar velocity dispersion of the youngest stars for each system. dSphs are shown as dashed lines, and show a noticeable lack of evolution.

and represent the most detailed look at the environment in which stars are being born for galaxies of mass  $10^9 \leq M_* \leq 10^{12}$ . W15 showed that the observed gaseous kinematic data show a decrease in velocity dispersion that is consistent with expectations based on gravitational instability criteria for star-forming discs with an evolving gas fraction [see also, Kassim et al. (2012, 2014)].

Fig. 10 and equation 8 of W15 show that galaxies of different rotational velocities occupy roughly parallel sequences in a plot of  $\sigma_{\text{ISM}}$  versus redshift. However this figure is an instantaneous snapshot of the ISM conditions for galaxies of a given mass or circular velocity at those epochs. We would expect that galaxies should evolve through a different path in this parameter space as they change in baryonic mass and circular velocity with time. The two key parameters in the model of W15 which control the ISM velocity dispersion are the gas fraction, and the rotation velocity of the galaxy:

$$\sigma_{\text{ISM}}(z) = \frac{Q}{a} V_{\text{rot}}(z) f_{\text{gas}}(z), \quad (3)$$

where  $Q$  is the Toomre stability parameter and  $a$  a factor that depends on the shape of the velocity field. These may plausibly vary over the range  $0.67 \leq Q \leq 2.0$  and  $1 \leq a \leq \sqrt{4}$ ; however, we adopt  $Q = 1$ ,  $a = \sqrt{2}$  for the baseline model.

If not directly measured, the gas fraction can be expressed in terms of the specific star formation rate (sSFR) and depletion time ( $t_{\text{dep}}$ ). W15 used empirical scaling relations between  $M_* - \text{sSFR}$  and  $M_* - t_{\text{dep}}$  to indirectly compute  $f_{\text{gas}}$  for their objects, and show that the  $\sigma_{\text{ISM}}$  evolution was consistent with disc instabilities driving the turbulence in galaxies at different epochs.

Rather than use similar empirical scaling relations (which may not be valid at  $z = 0$  or below the mass limit of the observational samples they were defined by), we will infer the gas fraction directly using the observed SFHs from the CMD analysis of our Local Group galaxies. This allows for a self-consistent analysis that uses explicit observational data to construct a disc instability model, and does not require that the galaxy evolve along a static star-forming main sequence.

Integration of the CMD-based SFH provides the evolution of the galaxies' stellar mass with time,  $M_*(t)$  (modulo a remnant fraction). To track the gas mass, we can ask what amount of gas would be needed in the galaxy to allow it to form the requisite number of stars in the next time-step.

The gas mass in this formulation of course depends on the efficiency with which gas is converted into stars. There are various parametrizations that link the surface density of gas to the surface density of SFR, with a general power law dependence of  $\Sigma_{\text{SFR}} \propto \epsilon_{\text{SF}} \Sigma_{\text{gas}}^n$  suggested by many studies (Bigiel et al. 2008; Leroy et al. 2008), though the super or sub-linearity of the power-law exponent in the relation, as well as the best gas tracer (H I, H<sub>2</sub>, C II, HCN) is still an active area of discussion (Glover & Clark 2016). Following Bigiel et al. (2008), we adopt  $n = 1.0$  for the molecular gas exponent. The proportionality constant represents a conversion efficiency, with an equally broad set of physical interpretations, but is commonly expressed as an inverse of the gas depletion time,  $\epsilon_{\text{SF}} = t_{\text{dep}}^{-1}$ .

Together with our observed SFRs as a function of time from the CMD analysis, we can invert this Schmidt–Kennicutt relation to obtain the molecular gas mass – provided we specify a depletion

time. As stars form out of the cold molecular phase, we specify the molecular gas mass directly and avoid having to estimate an molecular fraction within the model.

$$\Sigma_{\text{H}_2} = t_{\text{dep,H}_2} (\Sigma_{\text{SFR}})^{1/n}. \quad (4)$$

To set the range of plausible depletion times, we consider the present-day molecular gas masses of the SF galaxies in our sample, together with estimates of the depletion time from the literature studies of these and other nearby galaxies (Leroy et al. 2008). We also compute the depletion time directly using the observed SFRs and molecular gas masses. Based on these we find a range of molecular gas depletion times from  $0.3 \leq t_{\text{dep,H}_2} \leq 3$  Gyr, which span the properties of our galaxies, while providing close agreement with studies of galaxies in the local volume and at high redshift (Saintonge et al. 2011). The gas fraction in equation (3) can now be computed self-consistently from the CMD-based SFHs, with the stellar mass and molecular gas mass explicitly setting  $f_{\text{gas}} = M_{\text{H}_2} / (M_{\text{H}_2} + M_*)$ .

To estimate the redshift evolution in the galaxy’s rotation velocity, we utilize semi-analytic halo growth models of Dutton & van den Bosch (2009) and Dutton et al. (2011, hereafter D11) which describe on average, how the disc and halo properties of a galaxy of a given virial mass may evolve in redshift for a specified CDM cosmology.<sup>2</sup> These halo properties form a backbone for computing the rotation velocity at a given redshift and radius within the galaxy. We calibrate and check that the growth models are appropriate, by requiring that they reproduce the present-day observed and derived properties of the galaxies (stellar mass  $M_*$ , H I gas mass  $M_{\text{H I}}$  and virial mass  $M_{\text{vir}}$ ) (see Fig. 2). The adopted values and references that we calibrate the halo growth models to are shown in Table 3.

The evolution of a single galaxy’s *molecular* gas velocity dispersion in the  $\sigma_{\text{ISM}}$  redshift plane can now be predicted from equation (3). The strength of this evolutionary model for the gas velocity dispersion, is that it is physically motivated based on a disc instability argument, and for our sample of galaxies is computed using the observed SFHs. We note that we find good agreement in the predicted  $\sigma_{\text{ISM}}$  if we also use our SFH-based  $M_*(t)$  values in the empirical scaling relations for  $f_{\text{gas}}$  as done in W15. The models presented are for a radius of  $\sim 1R_e$ , which is comparable to where most of the kinematic tracers reside in each of the galaxies; however, the models can be fine tuned as a function of radius if desired, as is done for comparison to the MW sample that is restricted to small volume.

The ISM model formally can only describe evolution of the velocity dispersion due to changing gas fractions within a growing potential, when the velocity dispersion component is sub-dominant in the orbital energy budget – for these models the validity is  $V/\sigma \gtrsim 0.5$ . Application of these models to the dSphs then implicitly assumes that they evolved most of their life as ‘disky’ dwarfs, comparable to the dIrrs, but at some point exhausted their gas supply. While classically described as spherical, dispersion support systems, newer observations are finding remarkable dynamical complexity (Amorisco & Evans 2012; Kacharov et al. 2017), comparable angular momenta and global chemistry to dIrrs (Kirby et al. 2013; Leaman et al. 2013; Wheeler et al. 2017), which when taken with simulations suggesting common formation and evolution for dIrrs and dSphs, justify application of our model to these systems. The caveats mention above should be kept in mind however, and will be discussed in Section 7.4 with respect to the sensitivity of these systems to tertiary processes such as stellar feedback and tidal heating.

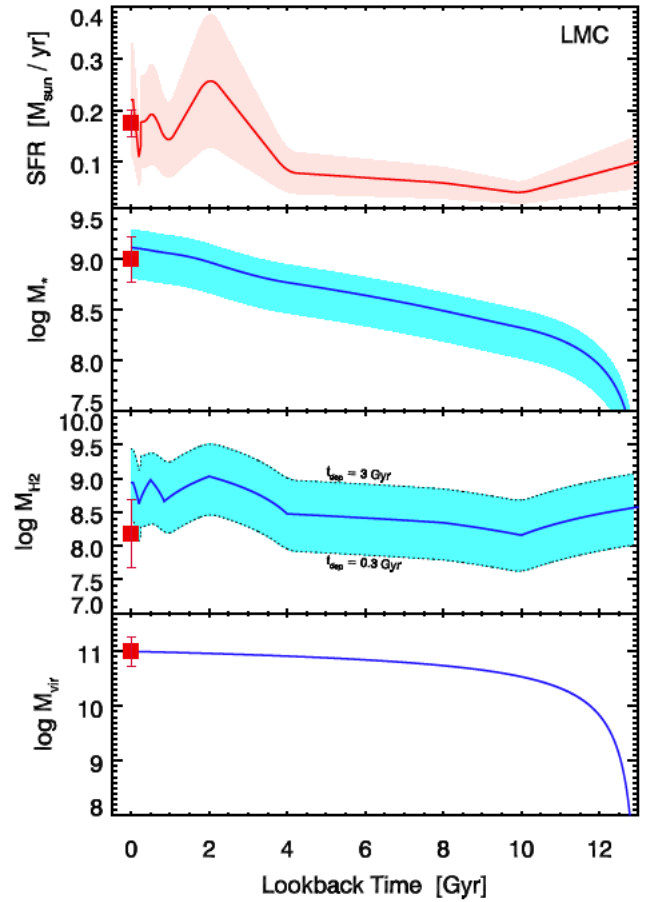


Figure 2. Observed SFH and derived evolutionary model tracks for an example galaxy (the LMC). In particular the current gas mass is a strong constraint on the chosen star formation efficiency (parametrized as a gas depletion time here) within the models, providing a check that the evolutionary models recover the present-day observed values (shown as red points at  $t = 0$ ).

Table 3. Galaxy integrated properties.

Galaxy	$M_*$ ( $M_\odot$ )	$M_{\text{H I}}$ ( $M_\odot$ )	$M_{\text{vir}}$ ( $M_\odot$ )	Reference
Carina	$1 \times 10^6$	–	$2 \times 10^9$	de Boer et al. (2014)
Sculptor	$4 \times 10^6$	–	$3 \times 10^9$	de Boer et al. (2012a) Amorisco, Zavala & de Boer (2014)
Fornax	$3 \times 10^7$	–	$5 \times 10^9$	de Boer et al. (2012b) Amorisco, Zavala & de Boer (2014)
WLM	$3 \times 10^7$	$1 \times 10^8$	$1 \times 10^{10}$	Jackson et al. (2007) Hunter et al. (2011) Leaman et al. (2012)
LMC	$3 \times 10^9$	$7 \times 10^8$	$1 \times 10^{11}$	van der Marel et al. (2002) Kim et al. (1998) Gómez et al. (2015)
M33	$6 \times 10^9$	$1 \times 10^9$	$4 \times 10^{11}$	Corbelli (2003) Seigar (2011)
MW	$5 \times 10^{10}$	$8 \times 10^9$	$1 \times 10^{12}$	McMillan (2011) Kalberla et al. (2007) Büdenbender, van de Ven & Watkins (2015)
M31	$9 \times 10^{10}$	$7 \times 10^9$	$2 \times 10^{12}$	Fardal et al. (2013)

<sup>2</sup> We adopt  $\Omega_m = 0.3$ ,  $\Omega_\Lambda = 0.7$ ,  $H_0 = 72$  (Freedman et al. 2001).



## 5.2 Scattering of stars by disc overdensities

A fundamental assumption in interpreting the AVR as due to dynamical cooling of the ISM is that newly formed stars are born with the velocity dispersion of the gas at that epoch. While this may be true, we need to consider the fact that stars born with extremely cold gas dispersions, of order  $1\text{--}5\text{ km s}^{-1}$ , will not remain at that velocity dispersion in equilibrium (cf. Ambartsumian 1955).

Since the pioneering work of Spitzer & Schwarzschild (1951), it has been argued that potential fluctuations could exist in the form of a population of (giant) molecular clouds in the disc. Alternatively spiral arms (Sellwood & Binney 2002b) could also produce scattering and dynamical heating of disc stars (though see Minchev et al. 2012). Such dynamical scattering will be most effective for populations born very cold – as their intrinsic dispersion can be altered by even small potential overdensities. Therefore stars in such thin, dynamically cold configurations will be extremely sensitive to even minimal overdensities (higher mass stars, binaries, associations, molecular clouds) and rapidly scatter.

We note that additional energy sources may provide feedback in the ISM to prevent  $\sigma_{\text{ISM}}$  from becoming as low as the pure gravitational disc instability model predicts (e.g. Tamburro et al. 2009; Stilp et al. 2013), and will be discussed in Section 7.2.2. Here we simply quantify the limiting case of scattering from a cold disc. As we shall see, the velocity dependence of the stellar diffusion ensures that a robust prediction for the equilibrium state may be computed, regardless of whether the additional SNe feedback produces a slightly hotter disc.

In either case the thinnest, coldest stellar populations will be affected most strongly, and for the longest period of time, as any mid-plane disc scattering process becomes somewhat self-limiting (Wielen 1977). As a star gets scattered increasingly more by a perturbing population, it spends less and less time in the plane of the disc and therefore the interaction time decreases, as does the subsequent dynamical heating.

Such a mid-plane scattering mechanism is therefore time dependent (Aumer et al. 2016), and also has an inverse velocity dependence arising from the scaling of impact parameters in two-body interactions. From first principles, it can be shown that for a population of stars on circular disc-like orbits encountering a population of perturbers, the increase in velocity dispersion scales as (Spitzer & Schwarzschild 1951; Wielen 1977)

$$\sigma = \left( \sigma_{\text{ISM}}^3 + \frac{3}{2} \gamma t \right)^{1/3}, \quad (5)$$

where  $\sigma_{\text{ISM}}$  is the initial birth velocity of the stars, and  $\gamma$  the velocity-dependent diffusion coefficient [with units of  $(\text{km s}^{-1})^3 \text{ yr}^{-1}$ ], which is inversely proportional to the disc dynamical relaxation time ( $t_{\text{rel}}$ ) in the presence of a scattering population (Spitzer & Schwarzschild 1953).

In order to describe the efficiency of the stellar scattering, we need to estimate the parameter  $\gamma$  (or equivalently the quantities to solve for  $t_{\text{rel}}$ ). Past studies (Wielen 1977; Sellwood & Binney 2002b) have typically fit for this parameter, by requiring that all stars be born with a floor ( $\sigma_{\text{ISM}} \sim 5\text{--}10\text{ km s}^{-1}$ ) and that dynamical scattering alone provide the necessary increase in dispersion to match the present-day observed AVR. This assumes that there is no evolution in  $\sigma_{\text{ISM}}$ , an assumption that is increasingly inconsistent with observations of high-redshift galaxies and that we wish to relax, as the SFH-based ISM cooling models we utilize here provide an independent measure of the dispersion with which the stars are born at,  $\sigma_{\text{ISM}}(t)$ .

To independently calibrate  $\gamma$  we utilize the typical scale-heights of disc galaxies, and apply a disc equilibrium argument

[ $h = \sigma_{*,\text{thin}}^2 / (\pi G \Sigma)$ ] to estimate the equilibrium stellar velocity dispersion corresponding to the thinnest scaleheight observed for a given mass galaxy. The velocity dispersion that our stars are born at in the ISM cooling model  $\sigma_{\text{ISM}}$  must eventually be increased by a scattering process to this equilibrium floor  $\sigma_{*,\text{thin}}$  in approximately a dynamical time. The diffusion coefficient can then be calculated directly for this limiting case as  $\gamma = ((\sigma_{*,\text{thin}} - \sigma_{\text{ISM}})^3 / t_{\text{dyn,disc}})$ .

We use the data of Kregel et al. (2002) who examined the ratio of disc scalelength to scaleheight as a function of galaxy stellar mass [ $8.3 \leq \log_{10}(M_*) \leq 11.5$ ] for a sample of galaxies. While this ratio depends on the particular stellar population which is traced by that photometric band (e.g. Bovy et al. 2012), we take the upper envelope of the data to constrain what the thinnest galaxy at a given mass is. The general trends in this study are also consistent with local studies of disc thickness by Yoachim & Dalcanton (2006), Roychowdhury et al. (2010), Sánchez-Janssen, Méndez-Abreu & Aguerri (2010). The dynamical time of the disc is computed by creating rotation curves of the gaseous and stellar components using the exponential surface density distribution parameters specified by the growth models of D11 and our observed and inferred stellar and gaseous masses.

This exercise provides diffusion coefficients that are in the range  $6 \times 10^{-8} \leq \gamma \leq 1 \times 10^{-5}$ . These estimates are in good agreement with an alternate method (see Section 7.1, Fig. 4, Appendix B), whereby we compute the equilibrium time-scale for a scattered population directly, following Spitzer & Schwarzschild (1953):

$$t_{\text{rel}} = \frac{\sigma_0^3}{\gamma} = \frac{4\sigma_0^3}{3\pi^{3/2} G^2 n_{\text{GMC}} M_{\text{GMC}}^2 \ln(C) F(B/\omega)}. \quad (6)$$

Here the logarithm takes the value of  $\simeq 3$  and  $F(B/\omega) = 0.98$  is a function of the local Oort constant and angular velocity, but which is invariant across our sample provided all galaxy rotation fields result from exponential discs evaluated at comparable fractions of the disc scalelength. The number density of clumps,  $n_{\text{GMC}}$  and clump mass in equation (6) are estimated directly from our ISM model assuming  $M_{\text{GMC}}$  equals the largest unstable Jeans mass at any time-step in the ISM, and the cloud–cloud spacing is comparable to the Jeans length of the same largest unstable mode (see also Appendix B).

Our independent calibration for the scattering efficiency is based on the limiting case where the  $\sigma_{\text{ISM}}$  is only due to the gravitational instabilities. However, as mentioned at the start of this section, roughly the same (within  $\sim 10$  percent) equilibrium velocity dispersion for the stars is achieved if we add in a secondary source of dispersion (see Section 7.2.2), primarily due to the velocity dependence of the stellar diffusion. Therefore, we consider the equilibrium diffusion model applicable whether or not we considered additional sources of turbulence in the ISM. In addition, the procedure is only relevant for the low  $\sigma_{\text{ISM}}$  dispersions predicted in some of the dwarf galaxies in our sample, and irrelevant for the higher mass galaxies. Relaxing the assumption of stars being born from a constant dispersion floor, and instead letting them be born as indicated by the SFH-based ISM model in a physically motivated fashion, yields diffusion coefficients that are consistent and in better agreement with independent semi-empirical GMC population models for scattering.

## 6 COMPARISON OF DATA AND MODEL PREDICTIONS

The model predictions for the ISM birth velocity dispersions and the velocity-dependent dynamical scattering of the stars by GMCs are shown for all the galaxies in our sample in Fig. 3. The width of the  $\sigma_{\text{ISM}}$  tracks represents the predictions for a molecular gas

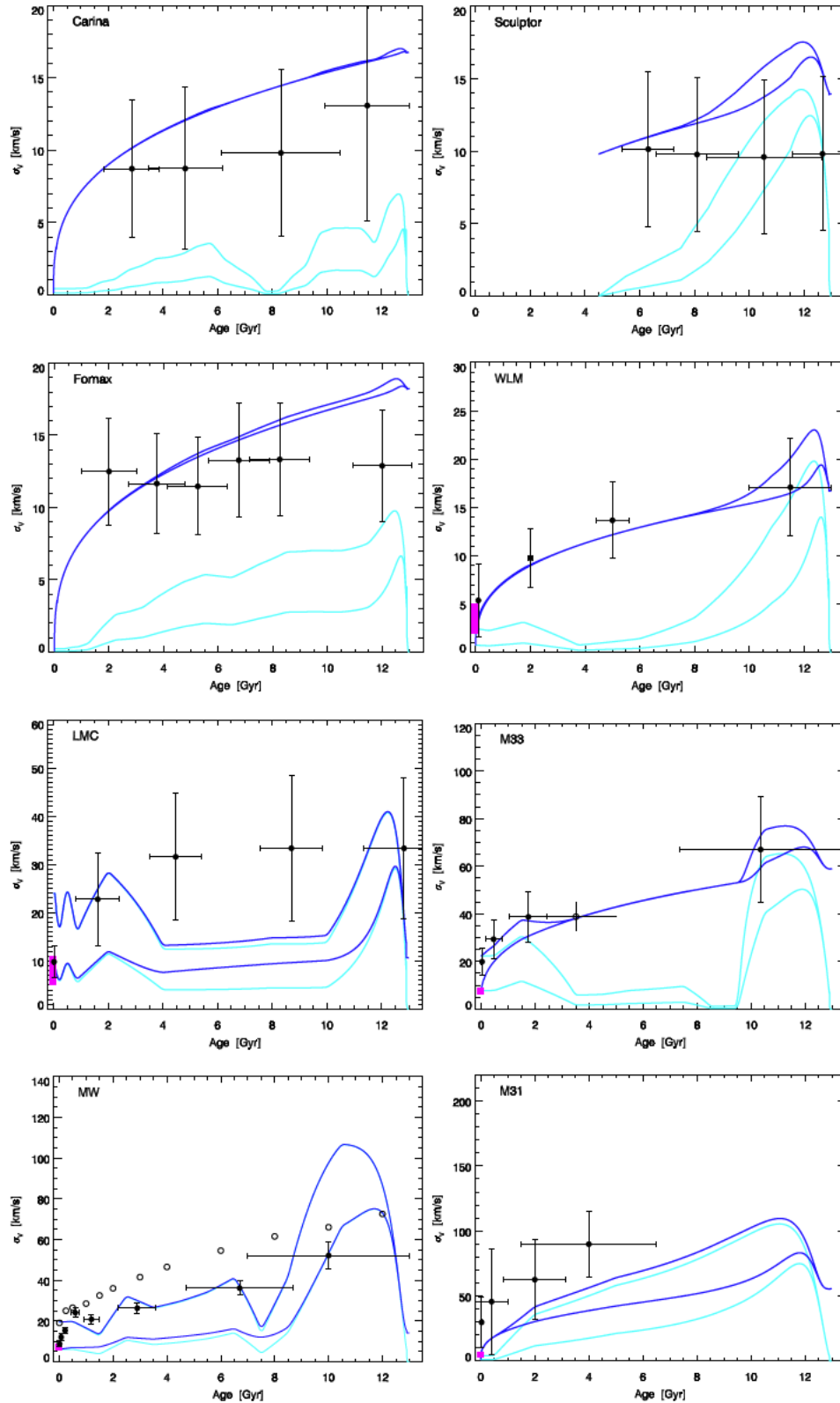


Figure 3. Stellar velocity dispersion as a function of age for the Local Group galaxies (black points). The error bars represent the uncertainty on velocity dispersion and also account for projection and anisotropy uncertainties. The model prediction for the  $\sigma_{\text{ISM}}$  evolution is shown as the cyan lines using the observed SFH and two different gas depletion times. The final increase in velocity dispersion of the stellar population born at  $\sigma_{\text{ISM}}$  due to velocity-dependent scattering off of mid-plane disc overdensities (e.g. GMCs) is shown as the corresponding dark blue lines according to equation (6). The magenta line shows the observed velocity dispersion of the molecular gas at present day. For the MW, both the  $\sigma$  of open clusters in the disc (filled circles) and individual stars in the solar neighbourhood GCS survey are shown (open circles). For M33, the RGB sample (open circles) of Beasley et al. (in preparation) is shown relative to the star clusters (filled circles).



depletion time 0.3 or 1 Gyr, and is compared to observations of the present-day molecular gas velocity dispersion for the SF galaxies in the sample (WLM; Elmegreen et al. 2013, LMC; de Boer et al. 1998, M33; Druard et al. 2014, MW; Stark & Brand 1989, M31; Caldu-Primo & Schruha 2016). The calibrated diffusion coefficients are listed for each galaxy as described in the previous section. The velocity dependence of this scattering is evident, as the process is only effective for initially cold populations ( $\leq 10 \text{ km s}^{-1}$ ), which are susceptible to even minor perturbations, and for longer periods of time than more dynamically hot and vertically extended populations.

Importantly, we stress that *the models use only the observed SFHs as an input*, and provide a data driven insight into how the gas velocity dispersion (and therefore birth velocity dispersion of the stars) behaved as a function of time. In most cases the final model bands can encompass the data, indicating that a time-dependent  $\sigma_{\text{ISM}}$  as observed at high-redshift plus internal scattering may explain a large fraction of the observed  $\sigma(t)$  evolution seen in stars of different ages at present day. The flat AVRs of the dSphs and the model overpredictions for these systems will be discussed in detail in Section 7.4.

For the LMC it should be kept in mind that torques to the disc may introduce misalignments in the stellar velocity field; however such an external perturbation may be minimized when considering regions close to the bar, and are less important when we consider *total*  $\sigma$  rather than a single component, such as  $\sigma_z$ . Similarly, long-term tidal heating serves to only increase the lowest velocity dispersions of the LMC model ( $\leq 15 \text{ km s}^{-1}$ ) by 50 per cent when we run impulsive tidal heating models for the LMC interaction with the MW. Interactions with the SMC may also come into play (see Section 7.1), and it is possible that some fraction of the spectroscopic data for the LMC contain a population of accreted SMC stars which could complicate the model comparison. However given the large uncertainties on the data points, our main conclusions are likely insensitive to this.

It is interesting that there is an almost universal rapid dynamical evolution for the coldest, youngest populations in the star-forming galaxies in our sample (seen also in simulations; Renaud et al. 2013; Martig et al. 2014; Aumer et al. 2016). The supergiant stars typically have extremely low dispersions, comparable to the measured gas dispersions, and with rotation velocities closely mimicking the gas velocity field, but even within  $\sim 1$  Gyr, the youngest RGB stars (and open clusters in the MW) become dynamically decoupled from the gas. Given the unlikely probability that mergers occurred in this 1 Gyr time frame at low redshift for all galaxies in our sample, this dynamical decoupling is simply a manifestation of the velocity-dependent mid-plane scattering discussed in Section 5.1. The approximate mass *independence* of this rapid dynamical decoupling time-scale could suggest that the characteristic mass and density of the perturbing disc population is also growing accordingly.

### 6.1 Leveraging multiple MW disc tracer populations

An interesting and much studied case is the MW (Spitzer & Schwarzschild 1953; Wielen 1977; Bird et al. 2013), for which the smoothness of the AVR and any possible plateaus may provide clues to the origin (or not) of a discrete (or continuous in the case of mono-abundance populations; e.g. Bovy et al. 2012) thick disc component(s). We refer the reader to the detailed studies by Stinson et al. (2013) and Bird et al. (2013) on the detailed shape of the AVR of the MW; however in this section, we wish to differentiate two

dynamical MW tracer populations that may provide a consistency check on our ISM cooling models.

Before the comparison, we would draw special attention to unique biases that can arise in the case of the MW where there is a volume restricted sample of stars forming the AVR data. Significant radial migration in the MW's disc, and/or stars on eccentric orbits can enter this sample of local Solar neighbourhood stars. While we tune our model predictions to this particular radius of the MW, it describes the birth velocity dispersion and subsequent dynamical heating of stars that have been born in, and remain in, this volume. Correlated changes in the components of the velocity dispersion, as well as a  $\sim 10$  per cent underestimate of the velocity dispersion may be expected based on simulations of these selection biases in the MW (Aumer et al. 2016) – however analysis and modelling of the total velocity dispersion in this work somewhat mitigates these more detailed issues that are introduced in comparing to volume restricted samples, as we shall see in Section 7.3.

In Fig. 3(g), the ISM model predictions trace the data of the MW disc star clusters (Hayes & Friel 2014), but fall short of the  $\sigma$  of individual Solar neighbourhood stars from the Geneva–Copenhagen study (Casagrande et al. 2011). For ages of 10–12 Gyr, the model provides a closer agreement; however, it is precisely these age bins where age errors (cf. Martig et al. 2014; Aumer et al. 2016) will suppress the observed dispersions by  $\sim 30$ –50 per cent. The GCS stars are therefore assuredly above the model predictions even in these age bins as well. The relative difference in the two samples is unlikely to be due to contamination from halo or thick disc stars, as if anything the solar neighbourhood GCS sample of individual stars, spans a smaller survey volume than the open cluster sample, and halo contamination is estimated at  $\leq 0.5$  per cent (Cubarsi et al. 2010). We suggest that this difference in velocity dispersion is perhaps expected and provides some reassurance that our model accurately describes the galaxies' dynamical evolution – as the star clusters should not be as susceptible to additional latent dynamical heating from spiral arms or substructures, as their mass is comparable to that of any perturbing population. Conversely the velocity dispersions of the individual stars should be affected by any satellite mergers or spiral overdensities, and thus appear at higher  $\sigma$  values at any given age. While some studies (Ida et al. 1993; Shiidsuka & Ida 1999; Gustafsson et al. 2016) have argued for comparable scattering for stars and star clusters, this is only true for mass ratios of  $\mu \lesssim 1 : 10$ . The proportional increase in scattering for stars over star clusters increases by a factor of 1.5 if one considers even marginally higher  $2 : 10$  events (equations 7–10b; Binney & Tremaine 1987), which for the most massive open clusters forming out of a cluster and GMC mass spectrum with negative power-law index is assured. This factor increase is approximately what is seen in comparing the field star and open cluster sample in Fig. 3(g).

## 7 DISCUSSION

The agreement between the data and explicit model predictions indicates that we are capturing some of the most important physical processes driving the gas velocity dispersion (and initial stellar velocity dispersion, assuming the stars are born with  $\sigma_{\text{ISM}}$ ). In the context of this model, it suggests that gravitational disc instabilities may drive a significant proportion of the observed velocity dispersions in high-redshift galaxies and the oldest stars in Local Group galaxies, primarily due to the higher gas fractions at early times in all galaxies.

### 7.1 Dynamical evolution trends with mass

Fig. 3 indicates that low-mass galaxies ( $M_* \lesssim 10^{10}$ ) have  $\sigma(t)$  profiles that can be explained nearly exclusively by the combination of the ISM model and the equilibrium scattering process. Here, the intrinsically low-velocity dispersions are reproduced by gravitational instabilities driven by large gas fractions, and for the least massive dwarfs the velocity-dependent mid-plane scattering produces excellent agreement with the data. For intermediate mass galaxies with  $\sigma \gtrsim 15 \text{ km s}^{-1}$ , the scattering has little effect, and the ISM dispersion predictions are already sufficient to produce the magnitude and evolution of the  $\sigma(t)$  profiles when we use the explicit SFHs to drive the model.

The MW and M31 show present-day stellar velocity dispersions that are in excess of the ISM and equilibrium scattering predictions (at least for  $t \leq 9 \text{ Gyr}$  old stars). While there is some leeway in the model, the solution would require depletion times that may be too long ( $\geq 10 \text{ Gyr}$ ) – and as discussed in Section 6.1, the correct prediction for the MW disc star clusters offers some confidence that the model is producing  $\sigma_{\text{ISM}}$  values of the appropriate magnitude.

The mass dependence of the dispersion budget is summarized in Fig. 4. The top panel quantifies how prominent the mid-plane scattering is over the ISM dispersion at birth. The efficiency of this scattering, as discussed in Section 5.1, can be understood by the velocity and mass dependence of the GMC mass and number density in equation (6). To illustrate this in Fig. 4 we overplot an analytic model where the molecular cloud mass and density in that equation are computed from the maximum unstable Jeans mass,  $M_J$ . The cloud density is computed assuming that the total mass of gas is in clouds of  $M_J$ , and distributed throughout the disc size appropriate to a galaxy of that mass (see Appendix B). This model of the mass-dependent mid-plane scattering process,  $\sigma_{\text{cloud}}$ , in conjunction with equation (3) (where  $f_{\text{gas}}$  is taken as a function of mass following the empirical relations summarized in W15) provides a descriptive framework for the dispersion evolution in stellar systems in absence of external processes. Several simulated galaxies (House et al. 2011; Martig et al. 2014; Christensen et al. 2016), where the birth and final stellar velocity dispersions are known are also shown and appear to exhibit a similar mass-dependent behaviour in this parameter space.

The relative amount of such excess (above and beyond the  $\sigma_{\text{equil.}} = \sigma_{\text{ISM}} + \sigma_{\text{cloud}}$  model) dynamical heating, in order to match the observed data, is shown in the top panel of Fig. 4. This figure illustrates that at progressively higher masses the impact of additional contributions becomes necessary in order to explain the observed present-day stellar AVR curves. We discuss potential candidates for this process in the next section.

### 7.2 Additional latent dynamical heating processes

For galaxies in our sample like the MW and M31, the prediction of the ISM model falls short of the present-day stellar  $\sigma(t)$  curves. While there could be changes to the model input (larger, but unphysical gas fractions, extreme values of  $Q$ ) that could bring these in closer agreement, it is also possible that some additional dynamical process could be responsible for the rest of the observed velocity dispersion.

#### 7.2.1 Heating from merging substructures

One additional contribution to the stellar velocity dispersion is interactions between the stars and merging subhaloes (not necessarily

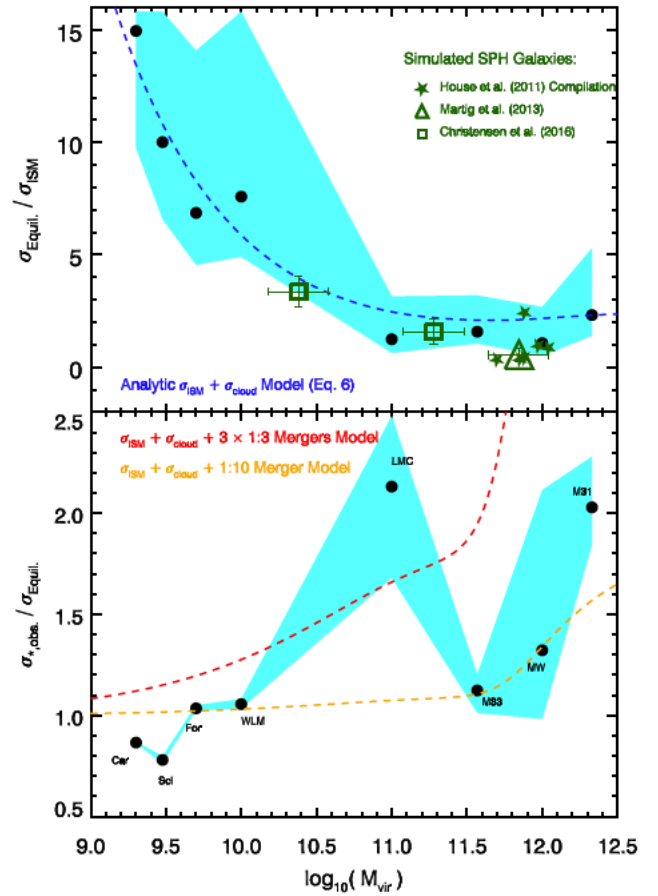


Figure 4. *Top*: Black points and cyan band show the ratio of the equilibrium stellar velocity dispersion [ $\sigma_{\text{equil.}} = (\sigma_{\text{ISM}}^2 + \sigma_{\text{cloud}}^2)^{1/2}$ ]; e.g. after the mid-plane scattering of stars born with the ISM gas dispersion is accounted for in the empirical SFH and scattering model). Larger ratios for the lowest mass galaxies indicate their greater sensitivity to stars scattering off GMCs, which can also be well reproduced with an analytic scattering model (dashed blue line; equation 6). Simulation data show the ratio of birth dispersion to final dispersion for various galaxies. *Bottom*: Mean ratio (over all times) of the observed stellar velocity dispersion to the dispersion predicted from the ISM cooling plus mid-plane equilibrium scattering model. The higher mass galaxies show an excess velocity dispersion, which can be fit well with a model where a 1:10 merger, or repeated LMC–SMC interactions in the case of the LMC, produces additional latent dynamical heating.

luminous; e.g. Starkenburg & Helmi 2015). There are several models and simulations available in the literature which provide analytic or numerical explorations of the dynamical heating from subhaloes (Benson et al. 2004; Hopkins et al. 2008; Helmi et al. 2012; Starkenburg & Helmi 2015). We defer discussion of the detailed mass spectrum and merger history of subhaloes that would be required to not *over predict* the  $\sigma(t)$  curves to a future paper.

While the subhalo mass function is thought to be scale free in  $\Lambda\text{CDM}$ , the effect of different gas fractions and initial disc structures can result in drastically different energy injections and disc responses for comparable mass ratio mergers.

We show one simple model, following the energy injection arguments of Hopkins et al. (2008) (their equation 8) as the dashed lines in the bottom panel of Fig. 4. A model that assumes that each galaxy has had a 1:10 merger, nicely reproduces the upturn in the  $\sigma_{\text{obs.}} / \sigma_{\text{equil.}}$  values, averaged over all times, at high mass. Interestingly the LMC sits anomalously high in this parameters space – however a comfortable fit is found assuming that the increased



dispersion is the result of three pericentre passages with an LMC:SMC mass-ratio companion, consistent with recently estimated orbital histories for the pair (Besla et al. 2016). While these models do not take into account the numerous complexities of how disc response evolves with scaleheight of the primary, they indicate that the mass dependence of the dispersion deficit may plausibly be reproduced through environmental encounters such as galaxy mergers.

### 7.2.2 SN driven ISM turbulence

A second process that may work on top of the mid-plane scattering and gravitational instabilities discussed in this paper is turbulence in the ISM driven by SNe feedback. Specifically type II SNe, as SNe Ia would not be expected to be co-located in the dense molecular gas layer to the same extent as massive stars. Recently, Lehnert et al. (2014) proposed that the large velocity dispersions observed in high redshift galaxies, and in the oldest disc populations of the MW could be produced by energetic SNe feedback directly imparting turbulent motions into the gas. They used an inferred SFH from Snaith et al. (2014) to describe the SFR of the MW (and therefore energy from SNe) as a function of time, provided some coupling parameter which describes how efficient the energy injection of the SNe is. Specifically they adopted  $\sigma_{\text{ISM}} = \epsilon \sqrt{\Sigma_{\text{SFR}}}$ .

By assuming that energy from SNe was the sole source of ISM velocity dispersion, and assuming like previous studies (Wielen 1977) that the ISM floor is always  $\sigma \simeq 5\text{--}10\text{ km s}^{-1}$ , they required a value of the coupling constant of  $\epsilon = 110\text{ km s}^{-1} (\text{M}_{\odot} \text{ yr}^{-1} \text{ kpc}^{-2})^{-1/2}$ . As in Spitzer & Schwarzschild (1953) and Wielen (1977), this methodology calibrates the efficiency of energy injection (or efficiency of scattering) so that the data are reproduced from that singular process, with the additionally canonical assumption that the initial velocity dispersion of the gas (or stars at birth) is cold and constant at all epochs.

While these studies serve as a proof of concept for a particular process, they are limited in describing how likely it is that a mechanism is able to work in concert with other processes. An alternative method is to test whether the energy provided by SNe over the lifetime of the galaxy is alone sufficient to power the observed turbulent energy in the gas at any given time. Such an analysis was outlined in Stilp et al. (2013).

For a given SFR, the energy produced by the SNe is given in Stilp et al. (2013) as

$$E_{\text{SF}} = \eta_{\text{SN}}(\text{SFR} \times t_{\text{diss}}) 10^{51} \text{ erg}, \quad (7)$$

where  $\eta = 0.0013$  is the number of core collapse SNe per unit stellar mass for a Kroupa IMF up to  $120\text{ M}_{\odot}$ . The energy in a turbulent ISM will be dissipated over a time-scale:

$$t_{\text{diss}} = 9.8 \times 10^6 \left( \frac{\lambda}{100 \text{ pc}} \right) \left( \frac{\sigma_{\text{ISM}}}{10 \text{ km s}^{-1}} \right)^{-1} \text{ yr}. \quad (8)$$

The turbulent driving scale is shown to be  $\lambda = 100 \pm 30 \text{ pc}$  from simulations of SNe driven turbulence by Joung & Mac Low (2006).

Stilp et al. (2013) define the turbulent energy budget of the ISM as  $E_{\text{turb, gas}} = 3/2 M_{\text{gas}} \sigma_{\text{ISM}}^2 \times 1.989 \times 10^{43} \text{ erg}$ , where  $M_{\text{gas}}$  is in solar masses. Using these equations we can test whether the observed SFRs in our galaxies are sufficient to drive the turbulent energy of the ISM via SNe feedback. Specifically, the limiting case of the SNe providing *all* the energy to drive the observed present-day dispersions (e.g. the proposal from Lehnert et al. 2014) can be computed by setting  $\sigma_{\text{ISM}}$  in the above equations to the observed  $\sigma$  of the stellar component measured at present

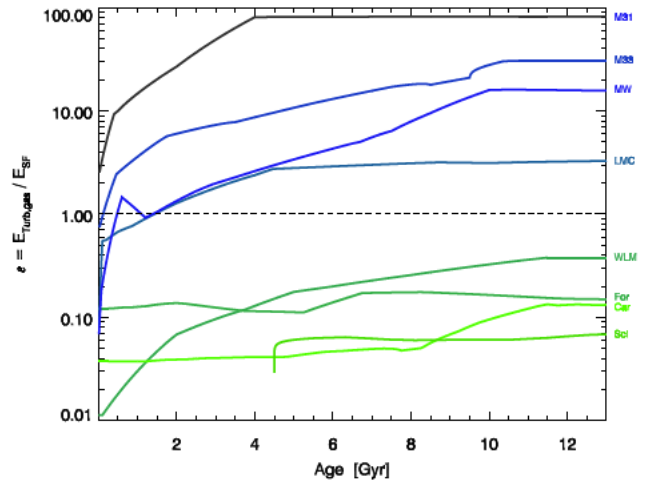


Figure 5. SF feedback efficiency parameter  $\epsilon = E_{\text{turb, gas}}/E_{\text{SF}}$  as calculated from the observed SFHs and derived gas masses of the Local Group galaxies. Tracks are coloured by stellar mass as in Fig. 1. For the galaxies above the mass of WLM, the observed  $\sigma(t)$  data cannot be singularly explained with SNe driven turbulence.

day in our galaxies, and using the  $M_{\text{gas}}(t)$  from our  $t_{\text{dep}} = 1 \text{ Gyr}$  explicit model.

Fig. 5 shows the ratio of required energy to drive the gas turbulence to energy available from SNe ( $\epsilon = E_{\text{turb, gas}}/E_{\text{SF}}$ ). Values of  $\epsilon \geq 1$  for the high-mass galaxies (LMC, M33, MW, M31) in our sample show that SNe-driven turbulence is not a plausible source of energy to explain the ISM turbulence alone (for example, at least for  $t \geq 1.5 \text{ Gyr}$  for the MW, corresponding to  $\sigma \sim 25 \text{ km s}^{-1}$ ). For WLM and the dSphs, it appears that energy from SNe could be a driver of the turbulence, particularly at late times – which may help explain the flat AVR curves of the dSphs. We note that this exercise is using the molecular gas mass and dispersion, as opposed to the H I phase that was considered in Stilp et al. (2013), with those authors noting that there is likely a stronger coupling of the SNe energy to the denser gas phases.

This is consistent with analytic limits showing that SNe energy cannot completely supply the turbulent energy budget when the gas dispersion is larger than  $\sim 12\text{--}25 \text{ km s}^{-1}$  (see Appendix A). The mass-dependent impact of such stellar feedback is seen in numerical studies where alterations to the dynamical, chemical and structural properties of low-mass dwarf galaxies may result from SNe and winds of massive stars (Brooks et al. 2007; Governato et al. 2010; Zolotov et al. 2012; Teyssier et al. 2013; Shen et al. 2014). However exact ratio of energy from ionizing radiation, magnetic fields and SNe feedback may be currently difficult to disentangle in simulations due to resolution effects. For example, if after SNe explosions the ISM cooling is turned off for a significantly longer time than the typical  $t_{\text{diss}}$  time-scale of 10 Myr, then the energy from that SSP will be preserved artificially longer – while in reality perhaps constant stellar winds or magnetic field support is contributing a higher fraction of the turbulent energy budget.

The expected correlation between  $\sigma_{\text{SNe}} \propto \text{SFR}$  in the case of SNe-driven feedback, may therefore be strongest below  $\sigma \sim 25 \text{ km s}^{-1}$ . However more problematic in separating the contribution from SNe and gravitational sources is that, typical SF laws invoking only gravitational collapse with no feedback *also* predict a correlation between the velocity dispersion and SFR. For example Krumholz & Burkhardt (2016) have  $\sigma \propto \frac{\text{SFR}}{V_c^2 f_{\text{gas}}}$ , which for a simple Toomre disc



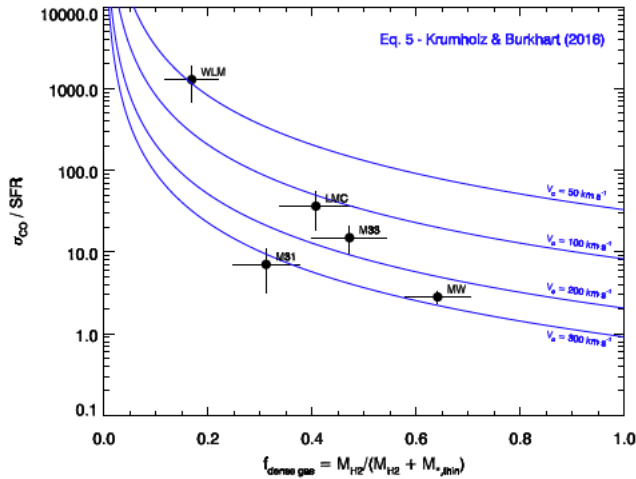


Figure 6. Adapted from Krumholz & Burkhardt (2016). Ratio of *observed* molecular gas velocity dispersion to SFR, as a function of present-day molecular gas fraction in the five star-forming galaxies in the sample. Model lines (blue) show the expected ratio between velocity dispersion and SFR if gravitational instabilities are the primary driver – which results in a quadratic dependence on the gas fraction.

stability (e.g. equation 3) leads to  $\sigma \propto \text{SFR}^{1/3}$ . How best then to disentangle which contribution is dominant in our sample?

Recently Krumholz & Burkhardt (2016) derived expectations for the dependencies between  $f_{\text{gas}}$ , SFR and  $\sigma_{\text{ISM}}$  where either (1) gravitational instabilities (e.g. a Toomre criteria argument as in W15 and described in Section 5) or (2) SNe feedback were responsible for driving the turbulence and regulating SFR in the ISM. They showed that theoretical predictions for the ratio of  $\sigma_{\text{ISM}}/\text{SFR}$  diverged in the two cases – with the gravitational instability mode predicting a  $\propto f_{\text{gas}}^{-2}$  dependence, while the  $\sigma_{\text{ISM}}/\text{SFR}$  was *independent* of gas fraction in the SNe feedback scenario. They compared these dependencies with observed properties in more distant galaxies, confirming the  $\propto f_{\text{gas}}^{-2}$  dependence. In Fig. 6 we reproduce this using only the *observed values* of  $\sigma_{\text{ISM}}$  (the present-day observations of CO dispersion), SFR from the resolved SFHs and  $f_{\text{gas}}$  (from the present-day observed  $M_{\text{H}_2}$ ,  $M_*$ ), and recover a similar  $f_{\text{gas}}^{-2}$  dependence. While more observations of local galaxies with comparable  $V_c$  but differing  $f_{\text{gas}}$  would be helpful, there are indications of a quadratic dependence in the data. As with the sample of galaxies Krumholz & Burkhardt (2016) studied, these trends may point to SNe driven turbulence playing a sub-dominant role in mediating the SFR and ISM dispersion in galaxies – however here we have the added possibility of being able to test this directly on the cold-phase gas fraction and dispersion, instead of from ionized gas.

While these limiting cases exclude SNe as a singular driver of the observed stellar velocity dispersion evolution in the Local Group galaxies, it is likely present as an additional source of energy injection – albeit one with more free parameters to constrain. On top of this the link between the  $\sigma$  evolution and SFR may not be instantaneous if most energy is released into the ISM by low mass stellar SNe or ionizing radiation (Peters et al. 2012). Conversely the gravitational instabilities are intrinsic to any galactic system, and their impact on  $\sigma_{\text{ISM}}$  must be present. This process then defines a baseline level of ISM turbulence from which secondary processes (mergers, SNe energy, magnetic fields) can be calibrated against and better understood.

A discussion of the relative contribution of these additional external (mergers) and internal (stellar feedback) processes is outside the scope of this paper. We do note however that as the disc response to

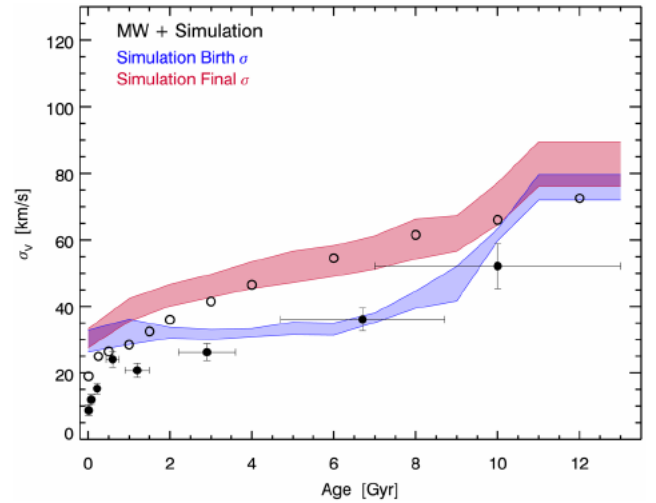


Figure 7. Observed MW AVR compared with a simulated MW mass galaxy from Martig et al. (2014). The blue and red bands are the stellar velocity dispersions at birth and at the end of the simulation. The birth dispersions are consistent with the ISM cooling model presented in this work, and the expectations that low mass stars are dynamically heated by disc overdensities (spiral arms, GMCs) more efficiently than star clusters. This simulation includes mergers and disc passages in addition to the mid-plane scattering effects we model, confirming also for the high mass MW that these may work in conjunction with internal effects.

mergers is approximately inversely proportional to the disc density and current velocity dispersion, a wide range of additional  $\sigma_{\text{ISM}}$  contributions from SNe feedback could all be consistent with (minor) mergers still occurring in the MW disc and not overheating it.

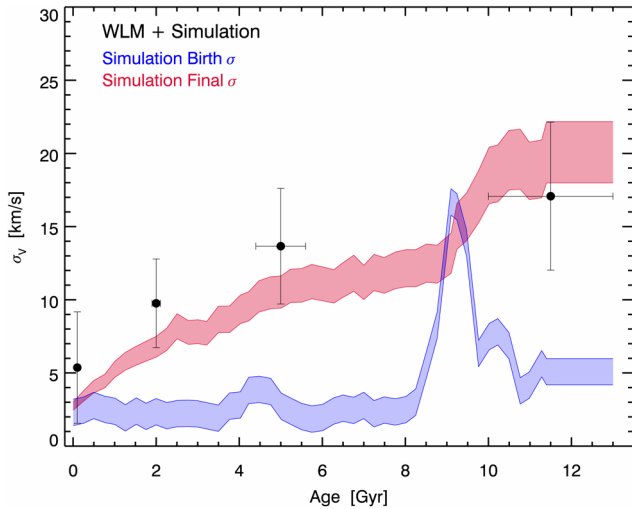
### 7.3 Comparison to simulations

Another test of our ISM model prediction is to look at high-resolution simulations of galaxies, where  $\sigma_{\text{ISM}}$ ,  $\sigma_{*,\text{birth}}$  and  $\sigma_{*,\text{final}}$  can all be examined directly. In Fig. 7 we show the AVR curves for a simulation of an MW mass galaxy from Martig et al. (2014). The velocity dispersion of the simulated stars at their time of birth, provide close agreement with our empirical ISM prediction, as well as the present-day positions of the open cluster disc sample. The final simulated velocity dispersions nicely track the observed  $\sigma(t)$  curves of the stars, with the width of the simulation curves representing a range of radial selections (from 2 to 3 disc scalelengths) used in computing the dispersions.

As this simulation is not an isolated galaxy, it has experienced minor mergers/disc passages, so the agreement between the redshift zero dispersion and the individual MW stars agrees with our suggestion in Section 6.1 that scattering effects will produce a larger effect on the individual stars, whereas the more massive open clusters should retain a dispersion closer to what they are born with.

Interestingly, similar to Fig. 3(g), the *birth* velocity dispersions are comparable to the MW disc cluster AVRs. This gives some reassurance that our ISM model is physically motivated, as it also predicts a birth value consistent with these tracers – which should not be subject to dynamical heating to the level that individual stars are.

For the low mass galaxy regime, we compare the stellar velocity dispersion evolution of WLM to cosmological zoom-in simulations. These simulations were computed using the smoothed particle hydrodynamic code GASOLINE (Wadsley, Stadel & Quinn 2004). This object was selected from a  $25^3 \text{ Mpc}^3$  zoom-in cosmological



**Figure 8.** Same as Figure 7, but for WLM. Simulations overlaid are from Christensen et al. (2012), and the width of the simulated dispersion tracks represents the uncertainty in converting the simulated  $z$  component of the dispersion to a total dispersion following equation (1) and the parameters for WLM in Table 4.

**Table 4.** Anisotropy and inclination ranges.

Galaxy	$\beta$	$\gamma$	$i$	Reference
Carina	[−0.7, 0.5]	[−0.7, 0.5]	[1, 80]	–
Sculptor	[−0.7, 0.5]	[−0.7, 0.5]	[1, 80]	–
Fornax	[−0.7, 0.5]	[−0.7, 0.5]	[1, 80]	–
WLM	[−0.7, 0.3]	[0.0, 0.4]	[68, 88]	Leaman et al. (2012)
LMC	[−0.7, 0.5]	[−0.7, 0.5]	[21, 40]	van der Marel et al. (2002)
M33	[−0.7, 0.5]	[−0.7, 0.5]	[51, 61]	Zaritsky, Elston & Hill (1989)
MW	[0.3, 0.7]	[0.3, 0.8]	[50, 90]	Hayes & Friel (2014) Jenkins & Binney (1990) Hattori & Yoshii (2011) Shapiro et al. (2003)
M31	[−0.7, 0.5]	[−0.7, 0.5]	[73, 80]	Dorman et al. (2015)

simulation, and has force resolution of 87 pc for the  $M_{\text{vir}} = 2.4 \times 10^{10} M_{\odot}$  galaxy. Further aspects of the simulations are described in greater detail in (Christensen et al. 2016).

Fig. 8 shows that the dispersion of the present-day stars in the WLM-mass simulated galaxy also agrees closely with the observed AVR (see also Teyssier et al. 2013; Brooks & Zolotov 2014; Shen et al. 2014). In addition our models’ predicted ISM dispersion (see Fig. 3) is in very good agreement with the velocity dispersion of the stars at their time of birth in the simulations.

It should be kept in mind that these two simulations have different prescriptions and efficiencies for the dominant ISM feedback included in their models (SNe and stellar winds). For example in Christensen et al. (2016) supernovae feedback is the dominant regulator of star formation in these simulations and provides one of the main sources of ISM turbulence. These simulations use a sub-grid ‘blastwave’ method for including supernovae feedback (Stinson et al. 2006), in order to prevent the fast dissipation of thermal energy from unresolved supernovae. In this method the supernovae feedback is modelled by distributing energy to those gas particles within the maximum theoretical radius of the blastwave (Chevalier 1974), and disabling cooling in them for a time equal to the momentum-conserving phase of the supernova remnant

(McKee & Ostriker 1977), typically  $\sim 10^7$  yr. Despite the temporary disabling of cooling, the particles remain hydrodynamically coupled to the rest of the simulation and rise naturally from the disc. It is likely not trivial how the kinetic energy from the heated particles couples to, other ISM particles and if this energy is dissipated on time-scales that follow  $t_{\text{diss}} \propto \sigma^{-1}$ .

Gravitationally driven turbulence provides the other potential source of ISM velocity dispersion in the simulation, and it is generated spontaneously from the local gravitational and thermodynamics of the gas particles. In order to model the full thermal range of the gas, these simulations include modelling of the non-equilibrium molecular hydrogen abundances (Christensen et al. 2012), gas and dust shielding, and metal-line cooling. As such, the gas is able to reach temperatures as low as 10 K and densities greater than  $100 \text{ amu cc}^{-1}$  which should help capture many of the expected gravitational overdensities in real galactic gaseous discs.<sup>3</sup>

In fact, one of the primary effects of including molecular hydrogen is that the ISM becomes significantly clumpier (Christensen et al. 2012). In addition to driving turbulence in the ISM through gravitational collapse, these high-density peaks may scatter stars, increasing their velocity dispersion. While the full mass spectrum of mid-plane scattering may not be captured, the quantitative agreement between the simulations and ISM and scattering models suggest much of the large-scale effects are taken into account. In addition, the recent suite of MW simulations from Grand et al. (2016) shows qualitatively similar explanations for the simulated AVR evolution.

Suffice to say that given the complexity of treating SNe feedback and its mass dependence in modern simulations, the comparison with the observational data and the analytic trends in Fig. 4 may offer a unique avenue to calibrate these prescriptions in the future.

## 7.4 The flat AVR curves of the dSphs

One interesting feature in Fig. 1 concerns the dSphs in the sample (Fornax, Sculptor, and Carina), which all show flatter  $\sigma(t)$  profiles compared to the gas rich galaxies in the sample. While metallicity subpopulations exhibit different spatial profiles and different projected radial  $\sigma_{\text{LOS}}$  profiles in some dSphs, the radial averaging of stars in a given age bin necessary to achieve sufficient numbers of stars, recovers a similar average dispersion for metal rich and metal poor stars (especially given the scatter in metallicity at fixed age, which leads to more similar radial age profiles; Battaglia et al. 2006). In addition the velocity anisotropy is thought to be a strong driver of the declining  $\sigma$  as a function of radius – so when the dispersions are converted to  $\sigma_{\text{total}}$ , it is perhaps not surprising that the intrinsic differences in the *total* dispersion between different age bins is largely constant within the significant statistical uncertainties. So while the complex chemo-dynamics of the dSphs is deservedly the study of more detailed dynamical modelling attempts (e.g. Amorisco & Evans 2012; Zhu et al. 2016) and future studies with larger sample size and precision will benefit from considering radial AVR trends, we can still ask in the context of our homogenized  $\sigma_{\text{total}}(t)$  measurements, what implications the suggested flat AVR of the dSphs physically implies for their evolution.

<sup>3</sup> It should also be noted the these simulations do not include artificial pressure support against Jeans collapse (e.g. Robertson & Kravtsov 2008), as the high resolution of the simulations and the relatively high star formation efficiencies (10 per cent) mean that any gas particle that does become Jeans unstable quickly forms stars.

Given the close proximity of the dSphs to the MW, it is worth considering whether this difference results from an environmental effect. This is particularly worth exploring, given that a constant  $\sigma_{\text{ISM}}$  evolution in these galaxies would be in disagreement with the observed SFHs and evolving gas fractions seen in simulations and high redshift galaxies – though this may speak to an environmentally modulated early star formation efficiency/history such as proposed by Gallart et al. (2015).

While some tidal stripping of the DM haloes of dwarf galaxies in simulations is thought to be necessary to reconcile the central densities and velocities with observations (especially in conjunction with baryonic feedback in the most luminous dwarfs; Zolotov et al. 2012; Brooks & Zolotov 2014), the minimal scatter in the dwarf galaxy stellar mass–metallicity relation (Kirby et al. 2013), and orbital simulations (Battaglia, Sollima & Nipoti 2015) indicates that there has likely not been significant *stripping* of the stars in these objects. However the MW may still provide a source of tidal heating to the stars bound within the dSphs, potentially increasing the velocity dispersion (albeit temporarily as the dispersion would decrease after the system expands and re-virializes due to the negative heat capacity of galaxies; Aguilar & White 1985, 1986).

We tested impulsive tidal heating models and found that while the enhancement in dispersion due to repeated pericentre passages would not flatten the  $\sigma(t)$  profiles, the subsequent re-virialization of the system should result in the existing stars having a larger radius and lower velocity dispersion prior to the galaxy’s pericentre encounter (provided the crossing time is less than the orbital time around the MW) (Peñarrubia et al. 2009; Smith et al. 2013; Barber et al. 2015). If such pericentre heating-relaxation cycles happened one or more times prior to the youngest generation of stars having formed in the dSphs, it could effectively lower the relative dispersion of the older stars and produce a flatter dispersion profile. This could naturally explain the more concentrated profiles of the metal rich components (Tolstoy et al. 2004) and steeper metallicity gradients in some dSphs (Battaglia et al. 2011; Leaman et al. 2013; Ho et al. 2015; Kacharov et al. 2017).

The same decrease in velocity dispersion may be expected for relaxation after potential reconfiguration due to feedback induced dark matter ‘cusp-core’ transformations seen in simulations of low-mass dwarf galaxies (Pontzen & Governato 2012; Di Cintio et al. 2014; Read, Agertz & Collins 2016) or due to GMC based scouring (Nipoti & Binney 2015). Indeed Read et al. (2016) show that not only is the core creation occurring in galaxies in the mass range of the dSphs, but that the velocity dispersion in their simulated cored galaxy may be lower by a factor of 2 than in the cuspy case. Given the numerous simulation studies on feedback in dwarf galaxies, one could speculate that susceptibility of these dSphs to stellar feedback may potentially give rise to flat AVR curves as well.

An alternative or complementary mechanism could be a scenario where the inner most gas reservoir of the dSphs is shocked during ram-pressure stripping, inducing the last episode of star formation. This would provide a higher  $\sigma_{\text{ISM}}$ , and therefore higher  $\sigma_*$  for the youngest populations than predicted by the current model. Like the above heating/relaxation scenario, it would also be consistent with steep metallicity gradients in dwarf galaxies with low ratios of  $V_{\text{rot}}/\sigma_*$  (Schroyen et al. 2011; Leaman et al. 2013). While Wheeler et al. (2017) have recently found similar  $V_{\text{rot}}/\sigma_*$  for a large sample of Local Group dIrrs and dSphs when examining RGB stars of all ages, the data here suggest that the dSphs uniquely have a low  $V_{\text{rot}}/\sigma_*$  for their *youngest* stars, relative to stars of the same age. These signatures, and the associated radial metallicity profiles could plausibly be generated in both the tidal heating or

ram-pressure scenarios – though further numerical study would be helpful.

A third process to consider is that the dSphs were a product of dwarf–dwarf mergers, or pre-processing in a group-infall scenario (Yozin & Bekki 2012, 2015; Wetzel, Deason & Garrison-Kimmel 2015). The flat  $\sigma(t)$  profiles in this case may be a natural consequence of this mechanism, whereby repeated group interactions maintains some equilibrium velocity dispersion for the gas and stars over a significant portion of the dwarf’s lifetime. The galaxy mass dependence of such pre-processing is seen in the simulations of Wetzel et al. (2015), where a much higher percentage of dwarfs with  $M_* \leq 10^7$  were found to be satellites of smaller groups, before and during infall to the MW/M31 primary haloes. If such flat  $\sigma(t)$  profiles are a result of group pre-processing, this would provide a natural explanation for why it is seen most clearly in the lower mass dSphs. Additionally, recent simulations by Benítez-Llambay et al. (2016) indicate that this process can also leave metallicity gradients in dSphs.

## 8 CONCLUSIONS

We present comparative stellar velocity dispersions and ages from resolved spectroscopic observations of individual stars in eight galaxies in the Local Group – ranging in mass from the low-mass dSph Carina ( $M_* \sim 10^6 M_\odot$ ) to M31 ( $M_* \sim 10^{11} M_\odot$ ). Motivated by simulations and observations of increased gas velocity dispersion in high-redshift galaxies (Kassin et al. 2012, 2014; Wisnioski et al. 2015), we utilize the observed SFHs from CMD analyses to estimate the gravitational disc instabilities due to varying gas fractions, and predict the  $\sigma_{\text{ISM}}$  of the galaxies as a function of time. This analysis relaxes the common assumption in AVR studies that stars at all ages are born from cold gas discs with constant velocity dispersion, and lets us test whether stars can be born from with the dispersion of the gas disc at any epoch in a physically motivated evolutionary picture.

Application of these models, plus empirical dynamical scattering prescriptions from mid-plane overdensities (GMCs), consistently reproduces the AVRs of the lowest mass galaxies – suggesting that stars are born from gas that becomes gradually less turbulent over time due to declining gas fractions and a smaller amount of gravitationally driven turbulence in the ISM.

The exception are the three dSphs we consider, which show shallower AVRs even over more than 8 Gyr in evolution. Possible scenarios (all consistent with these dSphs showing steep stellar population gradients) are that the flat AVRs may be due to increased stellar feedback or ram-pressure induced shocks prior to the youngest episode of SF, tidal heating and subsequent expansion of the older population during the dSphs orbits, or group pre-processing/dwarf–dwarf merging occurring predominantly in the evolution of these low-mass dSphs.

Above masses of  $M_* \sim 10^{10}$  additional sources of latent dynamical heating, such as mergers of subhaloes are needed to reproduce the present-day  $\sigma(t)$  curves in M33, and especially the MW and M31. This is plausibly accounted for by disc passages or minor mergers of satellites, for which the merger rate at fix mass ratio should increase proportionally more for such massive disc galaxies.

While the SFH-based model supports the picture where stars are born from high-velocity dispersion gas at high redshift – the question of what is driving the turbulence still appears complex. The models of gravitationally driven instabilities we consider can be supplemented by secondary energy sources such as feedback from SNe or magnetic fields. However using the SFHs and analytic



arguments the energy provided by SNe feedback appears to be self-limiting and insufficient to completely drive the ISM turbulence above  $\sigma \sim 20 \text{ km s}^{-1}$ , and fails to reproduce the stellar AVR curves of the high-mass galaxies in this sample. Additionally, comparing the present-day SFRs, gas fractions and molecular gas velocity dispersions, the ratio of  $\sigma/\text{SFR}$  shows a dependence on gas fraction which is uniquely expected in the case of gravitational driven turbulence – strongly hinting that the high dispersions are originating from gravitational disc instabilities.

The models we consider are free of tunable parameters aside from an assumed  $t_{\text{dep}}$  – yet extreme variations in this parameter do not alter the main conclusions of our results. Furthermore we demonstrate through comparison with simulations and two distinct dynamical tracers in the MW (open clusters and individual stars) that our semi-analytic models correctly predict the relative magnitude difference for the AVR of the MW disc open clusters, relative to individual solar neighbourhood stars. This is an excellent consistency check given that the more massive open clusters should not show as significant latent heating from feedback or mergers, compared to the stars, due to the former’s larger masses.

Taken together this strengthens a hypothesis that, in line with high-redshift observations, the increased velocity dispersion of the gas at early times is driven by the galaxies’ large gas fractions that induce gravitational instabilities in the ISM. Our analysis of Local Group galaxy SFHs and AVRs suggests that the stars were likely born with dispersions close to the gas velocity dispersion at their formation epoch, and therefore offer a useful record of the dynamical history of the galaxy gaseous and stellar disc to present day.

The scaling relations and model comparisons presented here should be helpful to further refine feedback and SF prescriptions in simulations and interpretations of high-redshift-integrated light studies, as well as calibrate the efficiency of secondary dynamical heating processes.

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## APPENDIX A: SNE TURBULENT ENERGY INJECTION LIMITS

While equations (7) and (8) of this work provide a framework to evaluate the amount of energy produced by SNe for an arbitrary SFH relative to the turbulent energy capacity of the gas – here we briefly explore a potentially more fundamental limit on increase of velocity dispersion that can be achieved by SNe – independent of the galaxy’s SFR.

The dissipation time-scale in equation (8) is expressed more fundamentally in Mac Low (1999), who showed that the ratio of the dissipation time-scale to the free-fall time of the star-forming molecular cloud is inversely proportional to the cloud’s Mach number ( $\mathcal{M}$ ) and proportional to the ratio of the turbulent driving wavelength and the Jeans length:

$$\frac{t_{\text{diss}}}{t_{\text{ff}}} \simeq \frac{3.9}{\mathcal{M}} \left( \frac{\lambda_D}{\lambda_J} \right). \quad (\text{A1})$$

As the driving wavelength of the turbulence  $\lambda_D$  cannot be larger than the actual dimensions of the collapsing cloud,  $\lambda_D/\lambda_J \leq 1$  in all cases, and sets a fundamental limit for the SNe energy budget.

The Mach number depends on the velocity dispersion and the local sound speed of cold molecular gas ( $c_s = 0.2 \text{ km s}^{-1}$ ) in the cloud so that the limiting case becomes

$$\frac{t_{\text{diss}}}{t_{\text{ff}}} \lesssim \frac{3.9 c_s}{\sigma}. \quad (\text{A2})$$

Mac Low (1999) noted that turbulence will only be successful at preventing collapse if the velocity dispersion is quite low (see also Forbes et al. 2016; Körtgen et al. 2016). In cases where the gas dispersion is intrinsically high, the dissipation time-scale of the turbulent energy will be much less than the free-fall time (as typical Mach numbers are 10–100 in GMCs).

We now exploit the velocity dispersion dependence of the above ratio to incorporate this limiting behaviour into the ratio of available energy from SNe relative to the turbulent energy capacity of the gas discussed in Section 7.2.2.

$$\frac{E_{\text{SF}}}{E_{\text{gas}}} = \frac{\eta \text{SFR } t_{\text{diss}} 10^{51} \text{ erg}}{3 M_{\text{gas}} \sigma^2 10^{43} \text{ erg}}. \quad (\text{A3})$$

Following a Kennicutt–Schmidt type SF law, the gas mass is often expressed in terms of the free-fall time and the efficiency per free

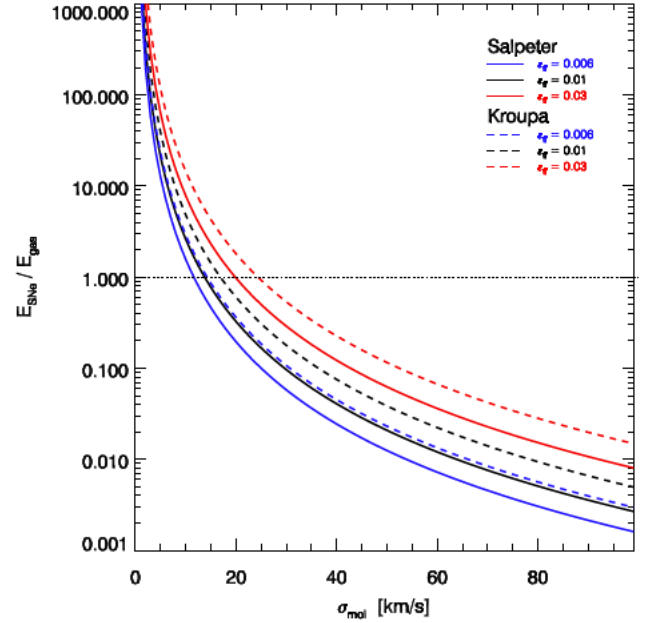


Figure A1. Coloured curves show the maximum energy injected by SNe relative to the turbulent capacity of the gas, as a function of the ISM velocity dispersion. The curves are labeled for different assumptions of the IMF and SF efficiencies.

fall time of gas to star conversion (e.g. Krumholz & Dekel 2010):

$$\text{SFR} = \frac{\epsilon_{\text{ff}}}{t_{\text{ff}}} M_{\text{gas}}. \quad (\text{A4})$$

Substituting this for  $M_{\text{gas}}$  in equation (6), yields

$$\frac{E_{\text{SF}}}{E_{\text{gas}}} = \frac{\epsilon_{\text{ff}} \eta 10^8}{3 \sigma^2} \left( \frac{t_{\text{diss}}}{t_{\text{ff}}} \right). \quad (\text{A5})$$

Finally from the physical limit imposed by  $\lambda_D \leq \lambda_J$ , we can write the upper limit for the contribution to the turbulent energy capacity of the gas from SNe energy injection:

$$\frac{E_{\text{SF}}}{E_{\text{gas}}} = \left( \frac{\epsilon_{\text{ff}} \eta c_s}{\sigma^3} \right) 1.3 \times 10^8. \quad (\text{A6})$$

The SFR dependence cancels, leaving only a dependence on the gas velocity dispersion and constants of the molecular cloud properties. Observationally, compilations such as Krumholz & Tan (2007) have found a remarkably universal efficiency per free fall time across many galaxies and gas density tracers so that  $0.006 \leq \epsilon_{\text{ff}} \leq 0.03$  brackets the range, with a median of  $\epsilon_{\text{ff}} = 0.01$ . For a Kroupa IMF  $\eta_K = 1.3 \times 10^{-2}$  and for a Salpeter  $\eta_S = 2 \times 10^{-3}$ . Using the canonical sound speed of  $c_s = 0.02 \text{ km s}^{-1}$  for molecular gas, we plot in Fig. 1 the limiting dispersion that SNe energy could fully account for the turbulence in the gas, for various choices of the IMF and star formation efficiency. Even with the largest observable values of  $\epsilon_{\text{ff}}$ , the energy injection is insufficient to power the dispersions seen in highly turbulent gaseous discs at high redshift or in the oldest stars in MW mass galaxies. Analytically the range of upper limits which SNe energy can provide from equation (A6) is

$$11.6 \text{ km s}^{-1} \leq \sigma_{\text{lim}} \leq 24.4 \text{ km s}^{-1}. \quad (\text{A7})$$

Fig. A1 shows curves of the maximum fraction of the turbulent energy budget that can be driven by SNe for an ISM with velocity dispersion  $\sigma$ , assuming different choices of the IMF and star formation efficiency. While magnetic fields and ionizing radiation from young massive stars assuredly contribute to the gas turbulent energy



budget as well, the SFR independence of this analytic limit for SNe may naturally lead to mass dependence for the type of non-adiabatic transformations of the potential due to rapid SNe driven gas expulsion that are seen to transform cosmological DM density profiles in simulations (e.g. Pontzen & Governato 2012; Di Cintio et al. 2014).

## APPENDIX B: ANALYTIC MASS-DEPENDENT ISM COOLING AND GMC SCATTERING MODEL

In Fig. 4 the empirical SFH-based ISM cooling prediction and the empirical mid-plane scattering (e.g. the cyan band and black points) are compared to a semi-analytic galaxy mass-dependent model (blue dashed line). The ingredients for the  $\sigma_{\text{ISM, SAM}}$  and  $\sigma_{\text{cloud, SAM}}$  model are outlined below.

The gas velocity dispersion as a function of galaxy mass is estimated following the theoretical disc instability arguments from W15, in conjunction with the empirical trends of gas fraction as a function of galaxy stellar mass,  $M_*$  from Whitaker et al. (2014). Specifically at redshift zero,

$$f_{\text{gas}} = (1 + (t_{\text{dep}} \text{sSFR})^{-1})^{-1} \quad (\text{B1})$$

$$t_{\text{dep}} = 1.5 \text{ Gyr} \quad (\text{B2})$$

$$\text{sSFR} = \frac{-10.73 + 1.26}{1 + \exp((10.49 - \log_{10}(M_*)) / -0.25)}. \quad (\text{B3})$$

The circular velocity of the halo is computed via the virial mass mapped using a stellar to halo mass relation (SHMR; here from Leauthaud et al. 2012). The ratio of the galaxy effective radius, and virial radius can be linked via an angular momentum conservation argument to the SHMR scaled to the same fractional radius ( $\eta$ ) of the galaxy via derivations presented in Leaman et al. (in preparation) and Garcia et al. (in preparation). This coupled with the empirical galaxy size–mass relation (e.g. Dutton et al. 2011) yields

$$\eta = \left( \frac{M_{\text{vir}}}{M_*} \right)_{\alpha R_e} = 0.04 \exp(0.38\alpha) - 0.04 \quad (\text{B4})$$

$$R_e = 10^{0.51 + 0.2(\log_{10}(M_*) - 10.17)} \text{ (kpc)} \quad (\text{B5})$$

$$R_{\text{vir}} = \frac{\alpha R_e}{\eta} \quad (\text{B6})$$

$$\sigma_{\text{ISM, SAM}} = \frac{Q}{a} f_{\text{gas}} \left( \frac{GM_*}{\alpha R_e} \right). \quad (\text{B7})$$

The analytic cloud scattering model must specify the typical maximum mass ( $M_{\text{GMC, max}}$ ) and number density ( $n_{\text{GMC}}$ ) of the GMC population as a function of the host galaxy mass (e.g. equation 6). The gas mass can be trivially extracted from the empirical  $f_{\text{gas}}$  relation as a function of galaxy mass (equations B1–B3). The maximum GMC mass will be close to the Jeans mass for the galaxy that can be expressed (Genzel et al. 2011) in terms of the galaxy mass and gas fraction as

$$M_{\text{GMC, max}} = 3 \times 10^7 \left( \frac{M_{\text{gas}}}{10^9} \right) \left( \frac{f_{\text{gas}}}{0.2} \right)^2. \quad (\text{B8})$$

For the limiting case where the most important perturbers have mass  $M_{\text{GMC, max}}$ , and the gas mass is primarily locked up in these structures, the total number of GMCs is  $N_{\text{GMC}} = M_{\text{gas}} / M_{\text{GMC, max}}$ . To compute their spatial number density, we assume that they are distributed within an exponential disc (with size proportional to stellar mass of the galaxy from equation B5), and a mass-dependent

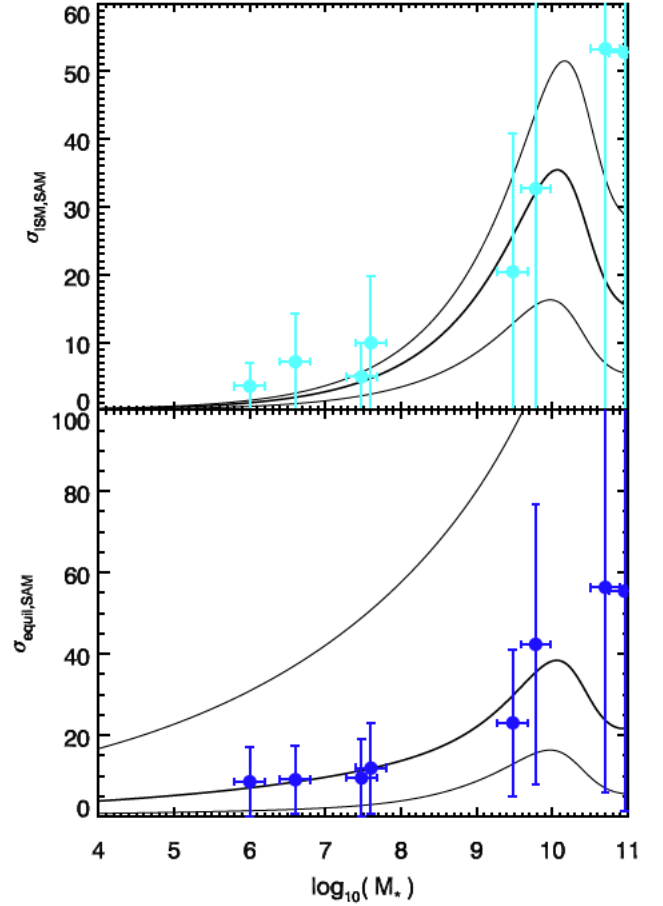


Figure B1. Galaxy mass-dependent semi-analytic ISM and equilibrium scattering models. Curves show the uncertainties due to gas depletion time in equations (B1)–(B12), and the points and error bars show the average and range of the velocity dispersion across all times in the empirical ISM and mid-plane scattering models for each of the Local Group galaxies in our sample (e.g. the cyan and blue curves in Fig. 3).

vertical thickness  $q_0 = h_z / R_d$  fit to the empirical relations of Kregel et al. (2002). This yields

$$q_0 = (\exp(0.01 V_c) + 4)^{-1} \quad (\text{B9})$$

$$n_{\text{GMC}} = \frac{N_{\text{GMC}} \exp(-\alpha)}{R_e 2\pi\alpha q_0 R_d^2}. \quad (\text{B10})$$

Equation (6) then specifies that the scattering coefficient and equilibrium velocity dispersion due to scattering on top of the ISM dispersion is

$$\gamma_{\text{SAM}} = \frac{\rho_{\text{GMC}} M_{\text{GMC, max}}}{4404.83} \text{ (km}^3 \text{ s}^{-3} \text{ yr}^{-1}) \quad (\text{B11})$$

$$\sigma_{\text{equil, SAM}} = \left( \sigma_{\text{ISM, SAM}}^3 + \frac{3}{2} \gamma_{\text{SAM}} t_{\text{dyn, disc}} \right)^{1/3}. \quad (\text{B12})$$

Fig. B1 shows these analytic models for ISM dispersion, and ISM plus scattering (e.g.  $\sigma_{\text{equil, SAM}}$ ). The uncertainties on the models assume a variation in depletion time between 1 and 3 Gyr in equation (B2), and the  $1\sigma$  uncertainties on the Leauthaud et al. (2012) SHMR.